

**2017 Annual Report /**

# Arts as Political Activism

Political expression by artists goes well beyond the interpersonal. Artists as activists are more prominent than ever. The energy and commitment they bring are more needed than ever.

The photographs in this year's annual report explore the border we may be inclined to think exists between art and politics. The subjects of these photographs shatter that line.

# President

Not long ago, after more than two decades of “tough on crime” policies at the national level and in many states, elected officials and advocates from across the political spectrum seemed to be coalescing around the realization that our justice system was broken. Some noted the exorbitant financial cost of imprisoning so many people. Others cited the injustice inherent in the disparate treatment of people of color, especially for non-violent drug offenses. The strange bedfellows of this emerging bipartisan alliance were best exemplified by former Republican Speaker of the House Newt Gingrich and Democratic activist Van Jones. They teamed up as advocates of reform.

Some of the drive for change at the federal level has dissipated, however, with the arrival of the Trump administration’s return to “tough” policies. Consequently, as with many issues, action on reform has shifted to the states. And I am pleased to say that The George Gund Foundation has been an active funder of reform efforts.

Reform is certainly needed in Ohio, which has the sixth highest rate of incarceration in the country. Annually, there are nearly 400,000 Ohioans involved with the jail and prison systems. There are 50,000 state inmates in prisons designed to hold 38,000. Ohio’s prison population is 49% African-American even though African-Americans are only 12% of the state’s overall population.

Adding to the upward pressure on Ohio’s incarceration rate is the fact that more than 9% of those imprisoned are now held in facilities run by businesses for profit. Private prison operators are often among the most vocal opponents of criminal justice reform and are significant contributors to elected officials.

The financial burden of mass incarceration is staggering. The annual budget of the Ohio Department of Rehabilitation and Corrections now exceeds \$1.8 billion. And the annual cost to detain a youth in one of the state’s juvenile facilities is now over \$185,000 per year, nearly 20 times the annual tuition at Cleveland State University.

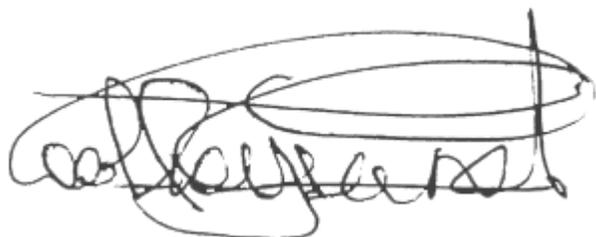
Our Foundation's signature grants in this domain have been to support an encouraging new partnership, the Ohio Transformation Fund (OTF), which is designed to tackle pressing economic and social justice issues. Its initial goal is to identify and address systemic inequities in Ohio's criminal justice system, focusing particularly on the reduction of the number and racial disparity of people incarcerated in the state.

The animating principle behind the OTF is that systemic change is possible when policy changes are driven by highly capable advocates in tandem with knowledgeable community members who have experienced the impact of inequities and who are actively engaged in the policymaking and electoral processes. This is an especially important year because landmark gains will occur if Ohio's voters approve the Safe and Healthy Neighborhoods amendment to the state constitution. Under the leadership of the Ohio Organizing Collaborative, the Ohio Justice and Policy Center and the Alliance for Safety and Justice, intense efforts are well underway with the aid OTF and a cadre of national and Ohio-based supporters to bring to the ballot a strong package of reforms emphasizing community-based treatment, not prison, for non-violent drug offenders.

I am proud to note that among the supporters of this ballot issue is the Art for Justice Fund, launched by my sister Agnes Gund from the sale of art from her collection. She has since been joined by many others.

Important additional justice reform efforts have been advanced by Gund Foundation grants to the ACLU of Ohio to help reduce practices that criminalize poverty by overhauling Cuyahoga County's inequitable system of cash bail which locks up many people who simply cannot afford to post a bond. In order to attack injustice in the juvenile justice system, for more than a decade we have focused investments on five reform strategies: policy research, policy advocacy, development of evidence-based alternatives to confinement, building local provider capacity and litigation. This work has been propelled through the legal action of the Children's Law Center and a tight-knit collaboration of organizations driving policy reform, including the Juvenile Justice Coalition, and the Schubert Center for Child Studies and Center for Innovative Practice, both at Case Western Reserve University. The determined work of our grantees has generated remarkable reforms, including the closure of four state facilities and a nearly two-thirds reduction in the state youth prison population since its peak.

Progress is being made but much work is still to be done. We intend to continue it, hoping that the work of our many partners will contribute to a movement that eventually turns the national tide.

A handwritten signature in black ink, appearing to read 'Geoffrey Gund', written over a horizontal line.

Geoffrey Gund  
President

# Executive Director

Borders are a hot topic these days. Those that immigrants cross and the walls that seek to stop them. Political lines that separate partisan camps. The boundary between “us and them,” between the established order and new ways. The times we live in cast these and other borders into sharp relief.

The photographs in this year’s annual report explore the border we may be inclined to think exists between art and politics. The subjects of these photographs shatter that line.

People have been making statements with their art since they first painted on the walls of caves. “I was here,” that earliest art exclaimed. “I matter. I count.” The fraught political climate of today impels many artists to make similar statements in a different context: Black lives matter. So do immigrant and LGBTQ lives and women. These and other victimized groups are finding their voice in movements and a share of their most vivid expression through art.

Accra Shepp has interpreted the role of some Cleveland artists as political voices in his photo essay. As an artist himself, Shepp gained national recognition for his evocative portrayals of the Occupy Wall Street movement. Those images, like the ones in this annual report, challenge us to see the world through the eyes of others. Not just Shepp’s eyes, but also those of the dancers, actors, painters and poets who imagine the world in new ways. That challenge—and our response to it—is the most basic political act, to see across the boundary between one person and another. That’s what politics is: the art of combining human outlooks and interests to achieve something.

Political expression by artists goes well beyond the interpersonal, of course. Artists as activists are more prominent than ever. The energy and commitment they bring are more needed than ever.

The artists depicted here find many ways to raise their voices. Among the 15 organizations shown here are Shooting Without Bullets which gives young people of color expressive outlets that channel their demands for justice reform. Dancing Wheels' combination of performers both with and without disabilities is a dramatic insistence on their equal recognition. The venerable Karamu House has elevated African-American voices through theater for a century. Twelve Literary Arts brings performance poetry to public spaces to advance social justice.

The founder of Twelve Literary Arts, Daniel Gray-Kontar, has written a poem inspired by Shepp's photos and [he reads it here \(photographs.html#gallery\)](#). Please listen for a more immersive experience as you let the photos scroll across your screen.

We know that art enriches our lives, but we also know that art can change our lives. It exercises its power by making us *feel*. And in our feeling, it can lead us across borders.

A handwritten signature in black ink that reads "David Abbott". The signature is written in a cursive, flowing style.

David Abbott  
Executive Director

## 2017 Total Commitments

# \$22,380,348

## 2017 Commitments Summary

# Arts

## \$2,365,150 / 66 grants

The Foundation values the integral role that arts and culture play in making Cleveland an intriguing, inclusive and exceptional place to live. The breadth and depth of the cultural offerings in Cleveland can be found in its downtown core and University Circle centers, but also within its many diverse neighborhoods. The Foundation balanced support between organizations that were large and small, established and emerging, barrier-breaking and tradition-honoring. Arts and Culture remain a key component to sustain Cleveland's civic vibrancy. Arts grantmaking totaled \$2,365,150.

## Education

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### **Center for Arts-Inspired Learning (<http://www.arts-inspiredlearning.org>)**

\$35,000 | Cleveland, Ohio | Operating support.

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### **Cleveland Music School Settlement (<http://www.thecmss.org/>)**

\$100,000 | Cleveland, Ohio | Music Settlement: West Side Campus.

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### **Cleveland State University Foundation, Inc. (<http://www.csuohio.edu/>)**

\$7,500 | Cleveland, Ohio | Cleveland Arts Education Consortium.

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### **Famicos Foundation, Inc. (<http://www.famicos.org/>)**

\$7,500 | Cleveland, Ohio | Refresh Collective: strategic planning.

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## Film and Media

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### **Cleveland Print Room Inc. (<http://clevelandprintroom.com/>)**

\$20,000 over 2 years | Cleveland, Ohio | Operating support.

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### **Greater Cleveland Urban Film Foundation (<http://www.gcuff.org>)**

\$7,000 | Cleveland, Ohio | Opening night event.

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### **Literary Cleveland (<http://www.litcleveland.org>)**

\$10,000 | Cleveland, Ohio | Project support.

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### **National Public Radio, Inc. (<http://www.npr.org/>)**

\$100,000 over 2 years | Washington, DC | Midwest news coverage.

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## Performing Arts

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**[Blue Streak Ensemble \(http://www.bluestreakensemble.com\)](http://www.bluestreakensemble.com)**

\$2,500 | Cleveland Heights, Ohio | Voice of the Lake.

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**[Brite Cleveland \(http://www.britewinter.com\)](http://www.britewinter.com)**

\$25,000 | Cleveland Heights, Ohio | Brite Winter Festival 2018.

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**[Broadway School of Music & the Arts \(http://www.broadwayschool.org/\)](http://www.broadwayschool.org/)**

\$10,000 | Cleveland, Ohio | Operating support.

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**[CityMusic Cleveland Inc. \(http://www.citymusiccleveland.org/\)](http://www.citymusiccleveland.org/)**

\$54,000 | Cleveland, Ohio | Operating support and capacity building.

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**[Cleveland Chamber Symphony Council Inc. \(http://www.clevelandchambersymphony.org/\)](http://www.clevelandchambersymphony.org/)**

\$7,500 | Cleveland, Ohio | NEOSonicFest.

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**[Cleveland Classical Guitar Society \(http://www.clevelandclassicalguitar.org\)](http://www.clevelandclassicalguitar.org)**

\$20,000 over 2 years | Cleveland, Ohio | Operating support.

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**[Cleveland Festival of Art and Technology Inc. \(http://www.ingenuitycleveland.org/\)](http://www.ingenuitycleveland.org/)**

\$25,000 | Cleveland, Ohio | IngenuityLabs at the Hamilton Collaborative.

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**[Cleveland Institute of Music \(http://www.cim.edu/\)](http://www.cim.edu/)**

\$150,000 over 3 years | Cleveland, Ohio | Minority Artist Fellowship Pilot Program.

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**[Cleveland Modern Dance Association \(http://www.dancecleveland.org/\)](http://www.dancecleveland.org/)**

\$3,200 | Cleveland, Ohio | Scholarships for ADF in CLE Summer Festival Dance Workshop.

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**[Cleveland Modern Dance Association \(http://www.dancecleveland.org/\)](http://www.dancecleveland.org/)**

\$35,000 | Cleveland, Ohio | Operating support.

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**[Cleveland Opera Theater \(http://www.clevelandoperatheater.org\)](http://www.clevelandoperatheater.org)**

\$10,000 | Cleveland, Ohio | Opera For All.

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**[Cleveland Play House \(http://www.clevelandplayhouse.com/\)](http://www.clevelandplayhouse.com/)**

\$100,000 | Cleveland, Ohio | Operating and project support.

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**[Cleveland Pops Orchestra \(http://www.clevelandpops.com/\)](http://www.clevelandpops.com/)**

\$25,000 | Beachwood, Ohio | Operating support.

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**[Cleveland Public Theatre, Inc. \(http://www.cptonline.org/\)](http://www.cptonline.org/)**

\$10,000 | Cleveland, Ohio | Station Hope 2017.

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**[Cleveland Public Theatre, Inc. \(http://www.cptonline.org/\)](http://www.cptonline.org/)**

\$90,000 | Cleveland, Ohio | Operating support, Station Hope and Danceworks programs.

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**[Convergence-Continuum \(http://www.convergence-continuum.org/\)](http://www.convergence-continuum.org/)**

\$15,000 | Cleveland, Ohio | Play Your Part: Save the Liminal Theater.

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**[Cuyahoga Community College Foundation \(http://www.tri-c.edu/jazzfest/jazzfest-schedule.html\)](http://www.tri-c.edu/jazzfest/jazzfest-schedule.html)**

\$25,000 | Cleveland, Ohio | Free outdoor Tri-C JazzFest concerts.

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**[Dobama Theatre, Inc. \(http://www.dobama.org/\)](http://www.dobama.org/)**

\$25,000 | Cleveland Heights, Ohio | Operating support.

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**[Duffy Liturgical Dance Ensemble \(http://www.duffylit.org/\)](http://www.duffylit.org/)**

\$5,000 | Shaker Heights, Ohio | Spiritual Requiem.

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**Foluke Cultural Arts Center Inc. (<http://www.folukeculturalarts.com>)**

\$10,000 | Cleveland, Ohio | Planning grant.

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**Great Lakes Theater Festival, Inc. (<http://www.greatlakesheater.org/>)**

\$90,000 | Cleveland, Ohio | Education department.

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**GroundWorks Dancetheater (<http://www.groundworksdance.org/>)**

\$35,000 | Cleveland Heights, Ohio | Operating support.

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**InLet Dance Theatre (<http://www.inletdance.org/>)**

\$89,450 over 2 years | Cleveland, Ohio | Capacity Infrastructure: Managing Director.

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**Karamu House (<http://www.karamuhouse.org/>)**

\$10,000 | Cleveland, Ohio | Summer Arts @ Karamu.

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**Karamu House (<http://www.karamuhouse.org/>)**

\$85,000 | Cleveland, Ohio | Operating support and project support for community programs.

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**Lake City Fuse (<http://www.undergroundclassical.com>)**

\$3,000 | Shaker Heights, Ohio | 2017-18 outreach concerts.

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**Les Delices (<http://www.lesdelices.org>)**

\$8,500 | Cleveland, Ohio | 2017-2018 concert series.

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**Mamai Theatre Company (<http://www.mamaitheatreco.org>)**

\$8,000 | Cleveland Heights, Ohio | Capacity-building support.

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**Near West Theatre (<http://www.nearwesttheatre.org/>)**

\$10,000 | Cleveland, Ohio | Executive search consultant.

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**Near West Theatre (<http://www.nearwesttheatre.org/>)**

\$60,000 over 2 years | Cleveland, Ohio | Operating support.

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**Opera Circle Inc. (<http://www.operacircle.org/>)**

\$18,000 | Cleveland, Ohio | Outreach and capacity building.

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**Piano International Association of Northern Ohio (<http://www.clevelandpiano.org/>)**

\$10,000 | Cleveland, Ohio | ArtsConnect and PianoKids projects in the Cleveland Metropolitan School District.

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**Playwrights Local 4181 LLC (<http://www.playwrightslocal.org>)**

\$10,000 | Cleveland Heights, Ohio | Project support.

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**Professional Flair, Inc. (<http://www.dancingwheels.org/>)**

\$20,000 | Cleveland, Ohio | Operating support.

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**Professional Flair, Inc. (<http://www.dancingwheels.org/>)**

\$7,500 | Cleveland, Ohio | Moving Toward a Lasting Legacy.

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**Roberto Ocasio Foundation (<http://robertoocasiofoundation.org>)**

\$6,500 | Strongsville, Ohio | Latin Music Project for Cleveland School of the Arts (CSA).

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**Theater Ninjas Inc. (<http://www.theaterninjas.com>)**

\$15,000 | Cleveland, Ohio | Operating support.

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**Verb Ballets (<http://www.verbballets.org/>)**

\$70,000 over 2 years | Cleveland, Ohio | Operating support.

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## Special Programs

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**Center for Arts-Inspired Learning (<http://www.arts-inspiredlearning.org>)**

\$10,000 | Cleveland, Ohio | ArtWorks Summer 2017.

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**Cleveland Arts Prize (<http://www.clevelandartsprize.org/>)**

\$5,000 | Cleveland Heights, Ohio | CAPtalks.

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**Community Partnership for Arts and Culture (<http://www.cultureforward.org/>)**

\$210,000 | Cleveland, Ohio | Operating support.

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**Cultural Data Project (<http://www.culturaldata.org>)**

\$16,000 | Philadelphia, Pennsylvania | Operating support.

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**Fractured Atlas Inc. (<http://www.fracturedatlas.org>)**

\$10,000 | New York, New York | Artful.ly Platform Outreach Project.

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**Grantmakers in the Arts (<http://www.giarts.org/>)**

\$6,500 | Seattle, Washington | Operating support.

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**Group Plan Commission (<http://www.groupplan.org>)**

\$100,000 | Cleveland, Ohio | Programming and operations support for Public Square.

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**Progressive Arts Alliance Incorporated (<http://www.paalive.org/>)**

\$65,000 | Cleveland, Ohio | Operating support.

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## Visual Arts

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**Art House, Inc. (<http://www.arthouseinc.org/>)**

\$15,000 | Cleveland, Ohio | Program consolidation and integration.

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**Beck Center for the Arts (<http://www.beckcenter.org>)**

\$25,000 | Lakewood, Ohio | Strategic Plan Implementation: Market Research.

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**Cleveland Institute of Art (<http://www.cia.edu/>)**

\$25,000 | Cleveland, Ohio | Operating support.

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**Cleveland Museum of Art (<http://www.clevelandart.org/>)**

\$10,000 | Cleveland, Ohio | "Japanese Ink Paintings from the George Gund III Collection" exhibition.

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**Front Exhibition Company (<http://frontart.org>)**

\$110,000 | Cleveland, Ohio | Glenville Arts Campus programming and operating support.

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**Morgan Art of Paper Making Conservatory & Educational Foundation (<http://www.morganconservatory.org/>)**

\$6,000 | Cleveland, Ohio | Exhibitions marketing plan.

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**Museum of Contemporary Art Cleveland (<http://www.mocacleveland.org/>)**

\$90,000 | Cleveland, Ohio | Operating support.

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**Praxis Integrated Fiber Workshop (<http://www.praxisfiberworkshop.org>)**

\$25,000 | Cleveland, Ohio | Natural Dye Garden and Praxis House.

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**Sculpture Center (<http://www.sculpturecenter.org/>)**

\$10,000 | Cleveland, Ohio | Operating support.

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**SPACES (<http://www.spacesgallery.org/>)**

\$65,000 | Cleveland, Ohio | Operating support.

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**Waterloo Arts (<http://www.waterlooarts.org>)**

\$7,500 | Cleveland, Ohio | Operating support.

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**Zygote Press Inc. (<http://www.zygotepress.com/>)**

\$64,000 over 2 years | Cleveland, Ohio | Operating support.

# Economic Development and Community Revitalization

**\$3,669,813 / 25 grants**

The Foundation's keen interest in advancing inclusive economic growth were advanced by a grant of \$750,000 to the Fund for Our Economic Future to assemble land for a business park along the Opportunity Corridor on Cleveland's east side. Grants to Cleveland Neighborhood Progress to raise awareness of racial inequities and to develop advocacy capacity within the community development system also served this objective. We maintained our longtime interest in the revitalization of Cleveland neighborhoods with ongoing support for groups such as Cleveland Housing Network, LAND studio and Enterprise Community Partners. Economic development and community revitalization grantmaking totaled \$3,669,813.

## Economic Development

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**Fund for Our Economic Future (<http://www.futurefundneo.org/>)**

\$750,000 | Cleveland, Ohio | Site acquisition for Job Hub along Opportunity Corridor.

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**Funders' Network for Smart Growth and Livable Communities, Inc. (<http://www.fundersnetwork.org/>)**

\$5,000 | Coral Gables, Florida | Discovering New Value in America's Core Cities.

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**Global Cleveland (<http://www.globalcleveland.org>)**

\$100,000 over 2 years | Cleveland, Ohio | Operating support.

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**Greater Cleveland Media Development Corporation (<http://www.clevelandfilm.com/>)**

\$60,000 | Cleveland, Ohio | Operating support.

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**Neighborhood Progress, Inc. (<http://www.npi-cle.org/>)**

\$10,000 | Cleveland, Ohio | Publication of Cleveland: People and Their Places.

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**Neighborhood Progress, Inc. (<http://www.npi-cle.org/>)**

\$75,000 | Cleveland, Ohio | Growing Equity in Northeast Ohio: 2017 Year of Awareness Building.

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**Neighborhood Progress, Inc. (<http://www.npi-cle.org/>)**

\$80,000 | Cleveland, Ohio | Community development advocacy capacity.

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## Housing and Community Revitalization

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**Cleveland Housing Network, Inc. (<http://www.chnnet.com/>)**

\$240,000 over 2 years | Cleveland, Ohio | Operating support.

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**Enterprise Community Partners, Inc. (<http://www.enterprisecommunity.org/>)**

\$55,000 | Columbia, Maryland | Racial Equity & Affordable Housing/Reentry Housing Needs.

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**HFLA of Northeast Ohio (<http://www.interestfree.org>)**

\$10,000 | Beachwood, Ohio | Operating support.

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**Western Reserve Land Conservancy (<http://www.wrlandconservancy.org/>)**

\$50,000 | Novelty, Ohio | Thriving Communities Institute.

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## Special Programs

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**[As You Sow \(http://www.asyousow.org\)](http://www.asyousow.org)**

\$10,000 | Oakland, California | Shareholder Rights Project.

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**[Brookings Institution \(http://www.brookings.edu/\)](http://www.brookings.edu/)**

\$450,000 over 3 years | Washington, DC | Metropolitan Policy Program.

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**[Case Western Reserve University \(http://www.case.edu/\)](http://www.case.edu/)**

\$1,000,000 over 3 years | Cleveland, Ohio | Bellflower District.

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**[City Club of Cleveland \(http://www.cityclub.org/\)](http://www.cityclub.org/)**

\$30,000 | Cleveland, Ohio | Website and data management integration.

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**[Foundation Center \(http://www.fdncenter.org/\)](http://www.fdncenter.org/)**

\$9,813 | Cleveland, Ohio | Spotlight on economic development report.

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**[New Entrepreneurs Opportunity Fund \(http://www.neofunds.org\)](http://www.neofunds.org/)**

\$10,000 | Ashtabula, Ohio | SEA Change NEO.

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**[Ohio Aerospace Institute \(http://www.oai.org/\)](http://www.oai.org/)**

\$10,000 | Cleveland, Ohio | NASA Great Lakes Initiative.

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**[Policy Matters Ohio \(http://www.policymattersohio.org/\)](http://www.policymattersohio.org/)**

\$60,000 | Cleveland, Ohio | Operating support.

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**[PolicyBridge \(http://www.policy-bridge.org/\)](http://www.policy-bridge.org/)**

Up to \$150,000 over 3 years | Cleveland, Ohio | Operating support.

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**[The Cleveland Police Foundation \(http://www.clevelandpolicefoundation.org\)](http://www.clevelandpolicefoundation.org/)**

\$10,000 | Cleveland, Ohio | 4th District Cultural Transformation Project.

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**[University Circle Incorporated \(http://www.universitycircle.org/\)](http://www.universitycircle.org/)**

\$100,000 over 18 months | Cleveland, Ohio | Cozad Bates Interpretive Center.

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**[Venture for America Inc. \(http://www.ventureforamerica.org\)](http://www.ventureforamerica.org/)**

\$20,000 | New York, New York | Venture for America Cleveland.

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## Urban Design, Planning & Amenities

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**[Cleveland Restoration Society, Inc. \(http://www.clevelandrestoration.org/\)](http://www.clevelandrestoration.org/)**

\$25,000 | Cleveland, Ohio | Program and operating support.

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**[LAND studio, Inc. \(http://www.land-studio.org/\)](http://www.land-studio.org/)**

\$350,000 | Cleveland, Ohio | Operating and project support.

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# Education

**\$3,524,085 / 21 grants**

The Foundation continued its investments in the Cleveland Plan, whose goal is to ensure every child in Cleveland attends a high-quality school and every neighborhood has a multitude of great schools from which families can choose. Grants included start-up support for new high schools in the Cleveland Metropolitan School District—Bard High School Early College East, Campus International High School, and Davis Aerospace & Maritime High School, among others—and for partnering charter schools, including Breakthrough Charter Schools and Stonebrook Montessori. Beyond school support, the Foundation made grants to the Educational Service Center of Cuyahoga County for PRE4CLE’s efforts to provide high-quality preschool for Cleveland children, and to College Now for its college readiness, access, and completion work with Cleveland students. The Foundation provided continuing support for the Excellence in Teaching Award and made a new grant to the College Football Playoff Foundation for a new teacher induction and development program: both initiatives support Cleveland Metropolitan School District teachers. The Foundation Total education grantmaking was \$3,524,085.

## Early Childhood Education

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**Educational Service Center of Cuyahoga County (<http://www.esc-cc.org/>)**

\$300,000 | Valley View, Ohio | PRE4CLE operating support.

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**Montessori Development Partnerships (<http://www.montessori-mdp.org/>)**

\$153,000 | Burton, Ohio | Stonebrook Montessori school start-up support.

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## Primary and Secondary Education

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**Bard College (<http://www.bard.edu>)**

\$130,000 | Annandale-on-Hudson, New York | Bard High School Early College Cleveland-East.

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**City Year, Inc. (<http://www.cityyear.org/>)**

\$50,000 | Boston, Massachusetts | City Year Cleveland.

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**The Cleveland Foundation (<http://www.clevelandfoundation.org/>)**

\$100,000 | Cleveland, Ohio | Cleveland Metropolitan School District Excellence in Teaching Award.

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**Cleveland Metropolitan School District (<http://www.clevelandmetroschools.org>)**

\$1,187,195 | Cleveland, Ohio | New school startup and support.

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**Cleveland Metropolitan School District (<http://www.clevelandmetroschools.org>)**

\$10,000 | Cleveland, Ohio | Council of Great City Schools 2017 Fall Conference.

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**College Football Playoff Foundation Inc. ([Http://www.cfp-foundation.org](http://www.cfp-foundation.org))**

\$250,000 over 5 years | Irvine, Texas | Cleveland Metropolitan School District new teacher induction and development program.

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**College Now Greater Cleveland, Inc. (<http://www.collegenowgc.org/>)**

\$200,000 | Cleveland, Ohio

| College access advising services, scholarships and retention services for Cleveland Metropolitan School District students.

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**College Now Greater Cleveland, Inc. (<http://www.collegenowgc.org/>)**

\$75,000 | Cleveland, Ohio | Higher Education Compact of Greater Cleveland.

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**Friends of Breakthrough Schools (<http://www.breakthroughcleveland.org/>)**

\$270,000 | Cleveland, Ohio | Breakthrough Charter Schools growth plan.

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**Friends of Breakthrough Schools (<http://www.breakthroughcleveland.org/>)**

\$270,000 | Cleveland, Ohio | Breakthrough Charter Schools growth plan.

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**Progress with Chess Inc. (<http://www.progresswithchess.org>)**

\$10,000 | Cleveland, Ohio | Cleveland Metropolitan School District chess program.

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**Stepstone Academy (<http://www.stepstoneacademy.org>)**

\$100,000 | Cleveland, Ohio | Start-up support.

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**Teach For America, Inc. (<http://www.teachforamerica.org/>)**

\$250,000 | New York, New York | Teach For America-Cleveland.

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**Thomas B. Fordham Institute (<http://www.edexcellence.net>)**

\$10,000 | Washington, DC | Remediating Ohio's Charter-School Sector.

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**Youth Opportunities Unlimited (<http://www.youthopportunities.org/>)**

\$85,000 | Cleveland, Ohio | Operating support.

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## **Special Programs**

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**Cleveland Metropolitan School District (<http://www.clevelandmetroschools.org>)**

\$5,000 | Cleveland, Ohio | Academic achievement awards.

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**Grantmakers for Education (<http://www.edfunders.org/>)**

\$10,000 over 2 years | Portland, Oregon | Operating support.

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**That Can Be Me Inc. (<http://www.booksatwork.org>)**

\$8,890 | Cleveland, Ohio | Books@Work.

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## **State Education Policy**

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**Philanthropy Ohio (<http://www.philanthropyohio.org>)**

\$50,000 | Columbus, Ohio | Ohio Education Policy Initiative.

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# Environment

**\$3,676,250 / 31 grants**

The Foundation's grantmaking reflected its long-standing commitment to preserving Northeast Ohio's distinctive ecosystem, supporting efforts to help Cleveland become a model of urban sustainability, restoring and protecting Lake Erie, and addressing climate change. In addition, grants were provided for policy analysis and advocacy efforts aimed at increasing the percentages of renewable energy and energy efficiency in our state, promoting a robust local foods infrastructure and encouraging smart growth. Environment grantmaking totaled \$3,676,250.

## Conservation of Natural Systems and Biodiversity

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**Alliance for the Great Lakes (<http://www.greatlakes.org/>)**

\$200,000 over 2 years | Chicago, Illinois | Operating support.

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**Cuyahoga Valley Countryside Conservancy (<http://www.cvcountryside.org/>)**

\$100,000 | Peninsula, Ohio | Operating support.

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**Water Watch of Oregon (<http://www.waterwatch.org/>)**

\$50,000 over 2 years | Portland, Oregon | Operating support.

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## Nonprofit Capacity Building

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**Institute for Conservation Leadership (<http://www.icl.org/>)**

\$75,000 | Takoma Park, Maryland | Strengthening Ohio Leaders and Organizations.

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## Sustainability, Energy and Climate Change

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**1% for the Planet Inc. (<http://www.onepercentfortheplanet.org/>)**

\$40,000 | Waitsfield, Vermont | Operating support.

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**Bike Cleveland (<http://www.bikecleveland.org/>)**

\$180,000 over 2 years | Cleveland, Ohio | Operating support.

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**Cleveland Metroparks System (<http://www.clevelandmetroparks.com/>)**

\$45,000 | Cleveland, Ohio | Operating support.

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**Cleveland Museum of Natural History (<http://www.cmnh.org/>)**

\$10,000 | Cleveland, Ohio | Cleveland March for Science 2017.

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**Cleveland Water Alliance (<http://www.clevelandwateralliance.org/>)**

\$480,000 over 3 years | Cleveland, Ohio | Operating support.

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**Consultative Group on Biological Diversity Inc. (<http://www.cgbd.org/>)**

\$7,000 | San Francisco, California | Climate and Energy Funders Group.

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**Earthworks (<http://www.earthworksaction.org/>)**

\$10,000 | Washington, DC | People vs Oil and Gas Infrastructure Summit.

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**The Energy Foundation (<http://www.ef.org/>)**

\$200,000 | San Francisco, California | Communications effort.

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**The Energy Foundation (<http://www.ef.org/>)**

\$550,000 | San Francisco, California | Ohio Key State Program.

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**Environmental Grantmakers Association (<http://www.ega.org/>)**

\$10,000 | New York, New York | Operating support.

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**Environmental Health Watch, Inc. (<http://www.ehw.org/>)**

\$35,000 | Cleveland, Ohio | Operating support.

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**Environmental Law & Policy Center of the Midwest (<http://www.elpc.org/>)**

\$150,000 over 2 years | Chicago, Illinois | Ohio office operating support.

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**Fair Shake Environmental Legal Services (<http://www.fairshake-els.org>)**

\$100,000 over 2 years | Pittsburgh, Pennsylvania | Operating support.

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**Fresh Energy (<http://www.fresh-energy.org>)**

\$40,000 | Saint Paul, Minnesota | Midwest Energy News.

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**Funders' Network for Smart Growth and Livable Communities, Inc. (<http://www.fundersnetwork.org/>)**

\$60,000 over 2 years | Coral Gables, Florida | Operating support.

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**Nature Conservancy, Inc. (<http://www.tnc.org/>)**

\$150,000 over 2 years | Arlington, Virginia | Operating support.

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**Neighborhood Progress, Inc. (<http://www.npi-cle.org/>)**

\$50,000 | Cleveland, Ohio | City of Cleveland's Climate Action Plan update.

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**Neighborhood Progress, Inc. (<http://www.npi-cle.org/>)**

\$7,500 | Cleveland, Ohio | The Art of Resilience: People, Society, and Nature.

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**New Agrarian Center (<https://cityfresh.org/>)**

\$80,000 over 2 years | Oberlin, Ohio | City Fresh Food Desert Response.

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**Ohio Ecological Food and Farm Association (<http://www.oeffa.org/>)**

\$150,000 over 2 years | Columbus, Ohio | Farm Policy Matters Program: Cultivating Change from the Ground Up.

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**Ohio Environmental Council (<http://www.theoec.org/>)**

\$390,000 | Columbus, Ohio | Program and operation support.

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**Rails to Trails Conservancy (<https://www.railstotrails.org/>)**

\$3,000 | Washington, DC | 2017 International Trails Symposium.

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**Rails to Trails Conservancy (<https://www.railstotrails.org/>)**

\$300,000 over 2 years | Washington, DC | Industrial Heartland Trails Coalition and Ohio specific trail development efforts.

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**Rails to Trails Conservancy (<https://www.railstotrails.org/>)**

\$37,500 | Washington, DC | Cleveland BikeAble Analysis.

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**West Creek Preservation Committee (<http://www.westcreek.org/>)**

\$10,000 | Parma, Ohio | Operating support.

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**West Creek Preservation Committee (<http://www.westcreek.org/>)**

\$150,000 over 2 years | Parma, Ohio | Operating support.

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**Wind on the Wires (<http://www.windonthewires.org>)**

\$6,250 | St Paul, Minnesota | Renewable Energy Siting.

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# Human Services

**\$6,766,500 / 83 grants**

In 2017, the Foundation prioritized nimble, timely public policy analysis and advocacy grants in response to growing threats to the social safety net in both Washington and Columbus. This included deploying grants through a Rapid Response Fund, where funds were available in real time to keep pace with the constantly evolving efforts to cut and/or fundamentally alter the structure of public investments in basic human needs bolstering the poorest and most vulnerable citizens in Cleveland, Ohio, and across the country. Support for the healthy development of under resourced children remained a high priority and was reflected in support for major initiatives, including First Year Cleveland, a community-wide initiative to reduce Cleveland's unacceptably high rate of infant mortality. Work continued and grew around critically needed justice system reforms for both youth and adults. The Ohio Transformation Fund (a collaboration with national and local funders and key reform-focused nonprofit partners aimed at reducing mass incarceration and racial disparities in Ohio's criminal justice system) accelerated efforts to propel a statewide justice system reform ballot issue for the November 2018 ballot in Ohio. Encouraging progress was made to interrupt the state's school-to-prison pipeline through state legislation reforms and early stages of bail reform was advanced in Cuyahoga County, aided by the rigorous data analysis and advocacy of grantees. Support continued for health care reforms. Human services grantmaking totaled \$6,766,500.

## Child and Family Stability

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### **Adoption Network Cleveland (<http://www.adoptionnetwork.org/>)**

\$40,000 | Cleveland, Ohio | Emergency funding.

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### **American Civil Liberties Union of Ohio Foundation, Inc. (<http://www.acluohio.org/>)**

\$59,000 | Cleveland, Ohio | Modernize bail reform in Ohio.

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### **Beech Brook (<http://www.beechbrook.org/>)**

\$10,000 | Cleveland, Ohio | Strategic planning.

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### **Case Western Reserve University (<http://www.case.edu/>)**

\$108,000 over 2 years | Cleveland, Ohio | Center for Innovative Practices' PERI initiative.

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### **Case Western Reserve University (<http://www.case.edu/>)**

\$150,000 over 2 years | Cleveland, Ohio

| Community data development and dissemination through the Center on Urban Poverty and Community Development.

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### **Case Western Reserve University (<http://www.case.edu/>)**

\$50,000 | Cleveland, Ohio | Health Data Matters dashboard.

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### **Case Western Reserve University (<http://www.case.edu/>)**

\$7,500 | Cleveland, Ohio | National Youth Sports Program (NYSP) summer camp in Cleveland.

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### **Catholic Charities Corporation (<http://www.clevelandcatholiccharities.org/>)**

\$100,000 over 2 years | Cleveland, Ohio | Immigration legal assistance for vulnerable populations.

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### **Center for Community Solutions (<http://www.communitysolutions.com/>)**

Up to \$400,000 over 2 years | Cleveland, Ohio | Ohio Transformation Fund Phase II.

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### **Child and Family Advocates of Cuyahoga County (<http://www.cfadvocates.org/>)**

\$100,000 over 2 years | Cleveland, Ohio | Cuyahoga County Court Appointed Special Advocates and Guardian Ad Litem programs.

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### **The Children's Museum of Cleveland (<http://www.clevelandchildrensmuseum.org/>)**

\$10,000 | Cleveland, Ohio | Early Childhood Fab Lab.

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### **Community Initiatives (<http://www.communityinitiatives.com/>)**

\$330,000 over 3 years | San Francisco, California | Groundworks Ohio operating support.

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**Community Initiatives (<http://www.communityinitiatives.com/>)**

\$5,000 | San Francisco, California | Groundwork Ohio Advocacy Day 2017.

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**Community Initiatives (<http://www.communityinitiatives.com/>)**

\$85,000 | San Francisco, California | Groundwork Ohio operating support.

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**Council for a Strong America (<http://www.councilforstrongamerica.org>)**

\$50,000 | Washington, DC | Ohio office operating support.

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**Effective Leadership Academy (<http://www.effectiveva.org>)**

\$7,500 | Beachwood, Ohio | Camp LEAD summer scholarships for Cleveland students.

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**Girl Scouts of North East Ohio (<http://www.gsneo.org/>)**

\$10,000 | Macedonia, Ohio | Summer camp scholarships.

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**Healthy Fathering Collaborative (<http://www.neofathering.net/>)**

\$40,000 | Cleveland, Ohio | Baby Elmo Re-entry Program.

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**HELP Foundation, Inc. (<http://www.helpfoundationinc.org/>)**

\$10,000 | Cleveland, Ohio | Summer camp program.

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**Hiram House (<http://www.hiramhousecamp.org>)**

\$5,000 | Chagrin Falls, Ohio | Summer camp program.

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**Inner City Tennis Clinics Incorporated (<http://www.innercitytennis.net>)**

\$7,500 | Painesville, Ohio | Summer camp program.

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**Juvenile Justice Coalition (<http://www.juvenilecoalition.org/>)**

Up to \$80,000 | Bath, Ohio | Operating support.

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**Neighborhood Health Care Incorporated (<http://www.nfpmcenter.org/>)**

\$100,000 over 2 years | Cleveland, Ohio | Community doula program.

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**Northern Kentucky Children's Law Center, Inc. (<http://www.childrenslawky.org/>)**

\$120,000 over 2 years | Covington, Kentucky | Operating support for Ohio office.

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**Ohio Business Alliance for Higher Education and the Economy (<http://www.ohiobrt.com>)**

\$10,000 | Columbus, Ohio | Status report on Ohio's progress in early childhood policy and funding.

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**Open Doors, Inc. (<http://www.opendoorsacademy.org/>)**

\$5,000 | Cleveland Heights, Ohio | Summer camp program.

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**Rockefeller Family Fund, Inc. (<http://www.rfund.org/>)**

\$125,000 | New York, New York | Ohio Juvenile Justice Reform Campaign.

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**Strategies for Youth Inc. (<http://www.strategiesforyouth.org>)**

\$54,000 | Cambridge, Massachusetts | Improving police/youth interactions project.

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**Third Sector Capital Partners, Inc. (<http://www.thirdsectorcap.org/>)**

\$10,000 | Boston, Massachusetts | Health and human services strategy in Cuyahoga County.

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**Third Sector New England, Inc. (<http://www.tsne.org/>)**

\$10,000 | Boston, Massachusetts | Early Childhood Funders' Collaborative annual dues.

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**University of Cincinnati Foundation (<http://www.teacherqualitypartnership.org/>)**

\$125,000 over 2 years | Cincinnati, Ohio | Building policy and community education capacity in the Ohio Innocence Project.

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## Meeting Basic Needs

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**Alcohol, Drug Addiction and Mental Health Services Board of Cuyahoga County (<http://adamhscc.org>)**

\$10,000 | Cleveland, Ohio | Behavioral Health Redesign business and clinical training.

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**American Civil Liberties Union of Ohio Foundation, Inc. (<http://www.acluohio.org/>)**

\$10,000 | Cleveland, Ohio | Women's Equality Fund.

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**Center for Community Solutions (<http://www.communitysolutions.com/>)**

\$250,000 | Cleveland, Ohio | Rapid Response Fund.

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**Center for Community Solutions (<http://www.communitysolutions.com/>)**

\$98,000 | Cleveland, Ohio

| AIDS Funding Collaborative, Ohio Youth Leadership Council and Collaborative for Comprehensive School Aged Health.

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**Center for Community Solutions (<http://www.communitysolutions.com/>)**

Up to \$60,000 | Cleveland, Ohio | Ohio Benefits Partnerships.

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**Center for Law and Social Policy (<http://www.clasp.org/>)**

\$200,000 over 2 years | Washington, DC | Operating support.

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**Center on Budget and Policy Priorities (<http://www.cbpp.org/>)**

\$350,000 over 2 years | Washington, DC | Operating support.

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**Coalition on Homelessness and Housing in Ohio (<http://www.cohhio.org/>)**

\$240,000 over 3 years | Columbus, Ohio | Public policy-related housing initiatives for low-income Ohioans.

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**Coalition on Homelessness and Housing in Ohio (<http://www.cohhio.org/>)**

\$50,000 | Columbus, Ohio | One Ohio Now.

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**Community Legal Aid Services, Inc. (<http://www.communitylegalaid.org>)**

\$26,000 | Akron, Ohio | Health Education Advocacy and Law Project.

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**Community Service Alliance (<http://www.comservealliance.org>)**

\$10,000 | Cleveland, Ohio | Operating support.

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**Equality Ohio Education Fund (<http://www.equalityohio.org/>)**

\$100,000 over 2 years | Columbus, Ohio | Operating support.

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**Family Planning Services of Lorain County (<http://www.familyplanningservices.org/>)**

\$50,000 over 2 years | Elyria, Ohio | Operating support.

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**Front Steps Housing and Services (<http://www.frontstepsservices.org>)**

\$10,000 | Cleveland, Ohio | Operating support.

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**Grantmakers in Health (<http://www.gih.org/>)**

\$5,000 | Washington, DC | Membership dues.

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**Greater Cleveland Foodbank, Inc. (<http://greaterclevelandfoodbank.org>)**

\$50,000 over 2 years | Cleveland, Ohio | Advocacy initiatives.

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**Hands On Northeast Ohio (<http://www.handsonneo.org/>)**

\$10,000 | Cleveland, Ohio | Homeless Stand Down.

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**Health Policy Institute of Ohio (<http://www.healthpolicyohio.org/>)**

\$200,000 over 2 years | Columbus, Ohio | Operating support.

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**Lesbian Gay Bisexual & Transgender Community Center of Greater Cleveland (<http://www.lgbtcleveland.org>)**

\$100,000 over 2 years | Cleveland, Ohio | Operating support.

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**Lutheran Metropolitan Ministry (<http://www.lutheranmetro.org/>)**

\$30,000 | Cleveland, Ohio | Advocacy initiatives.

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**Lutheran Metropolitan Ministry (<http://www.lutheranmetro.org/>)**

\$50,000 | Cleveland, Ohio | Volunteer Program at Men's and Women's Homeless Shelters.

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**Magnolia Clubhouse, Inc. (<http://www.magnoliacubhouse.org/>)**

\$100,000 over 2 years | Cleveland, Ohio | Medicaid reimbursement strategy.

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**MDRC (<http://www.mdrc.org/>)**

\$40,000 | New York, New York | Operating support.

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**Mental Health & Addiction Advocacy Coalition (<http://www.mhaadvocacy.org/>)**

\$30,000 | Cleveland, Ohio | Operating support.

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**Mental Health Services for Homeless Persons Inc. (<http://www.mhs-inc.org/>)**

\$10,000 | Cleveland, Ohio | Frontline Service and Cleveland Mediation Center IT migration project.

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**Metanoia Project Inc. (<http://www.metanoiaproject.org/>)**

\$40,000 over 2 years | Cleveland, Ohio | Operating support.

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**NARAL Pro-Choice Ohio Foundation (<http://www.prochoiceohio.org/>)**

\$2,500 | Cleveland, Ohio | Freedom of Choice Cleveland Coalition event: "Roe Together".

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**Near West Side Multi Service Corporation (<http://www.maydugancenter.org/>)**

\$40,000 | Cleveland, Ohio | Trauma recovery center.

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**New Venture Fund (<http://www.newventurefund.org/>)**

\$200,000 | Washington, DC | Safety Net Defense Fund.

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**Northeast Ohio Coalition for the Homeless (<http://www.neoch.org/>)**

\$7,500 | Cleveland, Ohio | Capacity building for new director.

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**Nueva Luz Urban Resource Center (<http://www.nuevaluzurc.org/>)**

\$10,000 | Cleveland, Ohio | Legal clinic program.

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**Ohio Alliance to End Sexual Violence (<http://www.oaesv.org/>)**

\$30,000 | Cleveland, Ohio | Raising Survivor Voices.

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**Ohio Association of Foodbanks (<http://www.ohiofoodbanks.org/>)**

\$150,000 over 2 years | Columbus, Ohio | Operating support.

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**Philanthropy Ohio (<http://www.philanthropyohio.org/>)**

\$35,000 | Columbus, Ohio | Health Initiative.

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**Planned Parenthood of Greater Ohio (<http://www.ppgoh.org/>)**

\$100,000 | Columbus, Ohio | Operating support

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**Policy Matters Ohio (<http://www.policymattersohio.org/>)**

\$30,000 | Cleveland, Ohio | State fiscal project.

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**Preterm Cleveland, Inc. (<http://www.preterm.org/>)**

\$10,000 | Cleveland, Ohio | Strategic planning.

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**St. Vincent Charity Medical Center (<http://www.stvincentcharity.com/>)**

\$100,000 over 2 years | Cleveland, Ohio

| Medical-Legal Partnership: St. Vincent Charity Medical Center and The Legal Aid Society of Cleveland.

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**Universal Health Care Action Network of Ohio Inc. (<http://www.uhcanohio.org/>)**

\$10,000 | Columbus, Ohio | Operating support.

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**Young Women's Christian Association of Cleveland (<http://www.ywca.org/>)**

\$50,000 | Cleveland, Ohio | A Place 4 Me.

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**Special Programs**

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**Case Western Reserve University (<http://www.case.edu/>)**

\$5,000 | Cleveland, Ohio | Data Days event: CLE, CTRL + ALT + DEL.

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**Coalition on Homelessness and Housing in Ohio (<http://www.cohhio.org/>)**

\$800,000 over 3 years | Columbus, Ohio | Ohio Voice coalition.

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**Lesbian Gay Bisexual & Transgender Community Center of Greater Cleveland (<http://www.lgbtcleveland.org/>)**

\$50,000 | Cleveland, Ohio | Capital campaign completion.

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**Malachi House (<http://www.malachihouse.org/>)**

\$30,000 | Cleveland, Ohio | Strategic plan and community outreach support.

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**Media In The Public Interest, Inc. (<http://www.mediainthepublicinterest.org/>)**

\$50,000 | Boulder, Colorado | Ohio News Connection.

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**Neighborhood Progress, Inc. (<http://www.npi-cle.org/>)**

\$360,000 over 3 years | Cleveland, Ohio | Operating support.

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**Neighborhood Solutions Inc. (<http://www.neighborhoodsolutionsinc.com>)**

\$25,000 | Cleveland, Ohio | Vineyards at Chateau Hough winery equipment.

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**Neighborhood Solutions Inc. (<http://www.neighborhoodsolutionsinc.com>)**

\$3,000 | Cleveland, Ohio | Employment Expansion Technical Assistance.

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**Northeast Ohio Voter Advocates Inc. (<http://www.nova-ohio.org/>)**

\$6,000 | Cleveland, Ohio | Voter registration program.

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**Ohio Campus Compact (<http://www.ohiocampuscompact.org/>)**

\$10,000 | Granville, Ohio | Campus voter education and engagement.

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**Trinity Cathedral (<http://www.trinitycleveland.org/>)**

\$100,000 | Cleveland, Ohio | "From Generation to Generation" capital campaign.

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**Voting for America Q**

\$200,000 over 2 years | Washington, DC | Operating support.

# Special Commitments

**\$2,378,550 / 14 grants**

The Foundation demonstrated its continued commitment to state and local voter advocacy to help increase the participation in American democracy by its citizens. We also maintained a commitment to fund research on the causes, nature and prevention of inherited retinal degenerative diseases and continued support for a wide range of organizations working to strengthen the nonprofit and philanthropic fields. Special commitments grantmaking totaled \$2,378,550.

## Philanthropic Services

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**Business Volunteers Unlimited (<http://bvuvolunteers.org>)**

\$35,000 | Cleveland, Ohio | Service to nonprofits.

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**Confluence Philanthropy Inc. (<http://confluencephilanthropy.org>)**

\$10,000 | New York, New York | Practitioner Membership.

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**Council on Foundations, Inc. (<http://www.cof.org/>)**

\$21,600 | Arlington, Virginia | Operating support.

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**Episcopal Diocese of Ohio (<http://www.dohio.org>)**

\$20,000 | Cleveland, Ohio | Episcopal community services.

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**Foundation Center (<http://www.fdncenter.org/>)**

\$30,000 | Cleveland, Ohio | Operating support.

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**Grants Managers Network, Inc. (<http://www.gmnetwork.org/>)**

\$3,000 | Washington, DC | Operating support.

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**National Committee for Responsive Philanthropy (<http://www.ncrp.org/>)**

\$10,000 | Washington, DC | Operating support.

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**Nonprofit Vote Inc. (<http://www.nonprofitvote.org>)**

\$75,000 | Cambridge, Massachusetts | Operating support.

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**Philanthropy Ohio (<http://www.philanthropyohio.org>)**

\$123,950 over 2 years | Columbus, Ohio | Operating support.

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**Philanthropy Ohio (<http://www.philanthropyohio.org>)**

\$20,000 | Columbus, Ohio | Operating support.

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**Proteus Fund, Inc. (<http://www.proteusfund.org/>)**

\$15,000 | Amherst, Massachusetts | Solidaire Network.

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**Summer on the Cuyahoga (<http://www.summeronthecuyahoga.com/>)**

\$10,000 | Bay Village, Ohio | Nonprofit internships.

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## RP Research

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**Foundation Fighting Blindness (<http://www.blindness.org/>)**

\$2,000,000 | Columbia, Maryland | Retinal degenerative disease research.

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**Foundation Fighting Blindness (<http://www.blindness.org/>)**

\$5,000 | Columbia, Maryland | VisionWalk.

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**Total commitments since inception of the foundation in 1952**

\$735,298,700

Please visit our [grants search engine \(http://gundfoundation.org/grants-awarded/search-grants-archives/\)](http://gundfoundation.org/grants-awarded/search-grants-archives/) to look up all grants since 2003.

# George Gund

George Gund was born in La Crosse, Wisconsin, and his family settled in Cleveland in 1897. Following graduation from Harvard College (1909) and graduate study at Harvard Business School, his early career included banking and real estate in Seattle, serving in Army intelligence during World War I, developing the Kaffee-Hag Corporation in Cleveland, attending Animal Husbandry School at Iowa State University and ranching in Nevada.

In 1936, Mr. Gund married Jessica Roesler and settled down in Cleveland to raise a family. His interest in banking culminated in his becoming President of The Cleveland Trust Company in 1941. At his death in 1966, he was Chairman of the Board.

In 1937, Mr. Gund began a formal program of charitable giving, and his philanthropic concern extended throughout his life. He carefully selected those charities to which he committed his funds. If the commitment was considerable over a long period of time, he characteristically devoted much of his time and energy to the institution.

Strong relationships developed with the educational institutions that shaped Mr. Gund's early life. He contributed time and money to University School (Cleveland), where he was a trustee, and to Iowa State University, where he established a scholarship program for students of animal husbandry. He served on the Board of Overseers of Harvard College from 1954 to 1960 and was closely involved at Harvard with the School of Public Health and the Business School from 1954 to 1966. Another interest in higher education was Kenyon College, where he served as a trustee for many years.

Although Mr. Gund had little personal experience in the arts, his devotion to them was great. Perhaps the single best example was his long association with the Cleveland Institute of Art. In 1942, he became President of the Institute and, under his leadership, saw it develop into a lively and prestigious institution.

The George Gund Foundation was created in 1952 because Mr. Gund believed the private foundation concept provided the most positive, farsighted vehicle for intelligent underwriting of creative solutions to social ills in a manner which would not be limited to his own lifetime. He favored the corporate foundation structure directed by an experienced, sensitive board of trustees entrusted not only with disbursement of funds, but an objective, practical review of proposals. He valued the degree of freedom a private foundation can exercise in seeking and fostering innovative ideas and in implementing demonstration projects.

Today, Mr. Gund's vision is carried forth through the continued involvement of the Gund family in the Foundation's work. Since its inception, the Foundation has made grants totaling \$713 million toward the advancement of human welfare.

By **Geoffrey Gund**, President

# Financial Statements

## Statements of Financial Position

December 31	2017		2016	
<b>Assets</b>				
Cash and cash equivalents	\$	5,850,889	\$	9,967,248
Investments, net		557,446,512		516,405,211
Interest and dividends receivable, net of allowance		334,974		310,501
Federal excise tax		846,000		775,000
Other assets		230,323		239,474
<b>Total assets</b>	<b>\$</b>	<b>564,708,698</b>	<b>\$</b>	<b>527,697,434</b>
<b>Liabilities</b>				
Accounts payable and accrued expenses	\$	839,858	\$	752,386
Grants payable		12,402,508		16,710,378
Deferred federal excise tax		6,663,000		5,638,498
<b>Total liabilities</b>		<b>19,905,366</b>		<b>23,101,262</b>
<b>Net Assets</b>				
Unrestricted		544,803,332		504,596,172
<b>Total liabilities and net assets</b>	<b>\$</b>	<b>564,708,698</b>	<b>\$</b>	<b>527,697,434</b>

The accompanying notes are an integral part of the financial statements.

## Statements of Activities

For the years ended December 31	2017	2016
<b>Revenues, Gains, and Losses</b>		
Net realized investment gains	\$ 21,477,890	\$ 17,065,818
Net unrealized investment gains	42,147,035	22,147,945
Dividend income	4,824,519	4,987,264
Interest income	846,598	904,026
Other income	443,059	2,909
Net revenue, gains, and losses	69,739,101	45,107,962
<b>Expenses</b>		
Grants expensed	22,380,348	22,549,178
Administrative expenses	5,829,906	5,764,152
Loss on sale of fixed asset	—	1,338
Total expenses	28,210,254	28,314,668
Increase in net assets before federal excise tax provision	41,528,847	16,793,294
Federal excise tax provision	1,321,687	1,197,926
Net increase in net assets	40,207,160	15,595,368
Net assets – beginning	504,596,172	489,000,804
Net assets – ending	\$ 544,803,332	\$ 504,596,172

The accompanying notes are an integral part of the financial statements.

## Statements of Cash Flows

For the years ended December 31	2017	2016
<b>Cash Flows From Operating Activities</b>		
Increase in net assets	\$ 40,207,160	\$ 15,595,368
Adjustments to reconcile increase in net assets to net cash used in operating activities:		
Depreciation and amortization	57,251	53,360
Net realized gains on investments	(21,477,890)	(17,065,818)
Net unrealized losses on investments	(42,147,035)	(22,147,945)
Loss on sale of fixed asset	—	1,338
Deferred federal excise tax	1,024,502	523,064
Provision for uncollectible program-related loans	—	15,825
Changes in assets and liabilities:		
Receivables	(95,473)	(476,642)
Other assets	(25,658)	6,514
Accounts payable and accrued expenses	87,472	16,015
Grants payable	(4,307,870)	(2,539,606)
Net cash used in operating activities	(26,677,541)	(26,018,527)
<b>Cash Flows From Investing Activities</b>		
Proceeds from sale of investments	99,213,306	103,167,036
Purchase of investments	(76,629,682)	(71,830,665)
Purchase of equipment and improvements	(22,442)	(87,710)
Net cash provided by investing activities	22,561,182	31,248,661
Net (decrease) increase in cash and cash equivalents	(4,116,359)	5,230,134
Cash and cash equivalents – beginning	9,967,248	4,737,114
Cash and cash equivalents – ending	\$ 5,850,889	\$ 9,967,248
<b>Supplemental Disclosure of Cash Flow Information</b>		
Cash paid during the year:		
Income taxes, excise	\$ 368,000	\$ 1,100,000
Interest	\$ —	\$ —

The accompanying notes are an integral part of the financial statements.

## Notes to Financial Statements — December 31, 2017 and 2016

### NOTE 1: SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES

**Nature of operations:** The George Gund Foundation (the Foundation) is a private foundation located in Cleveland, Ohio which makes grants to educational, community service, and philanthropic organizations.

**Basis of accounting:** The Foundation's financial statements are presented on the accrual basis of accounting. Accordingly, revenues are recorded when earned, and expenses are recognized when incurred. The Foundation has only unrestricted net assets.

**Use of estimates:** The preparation of financial statements in conformity with generally accepted accounting principles requires management to make estimates and assumptions that affect the reported amounts of assets and liabilities, and disclosure of contingent assets and liabilities at the date of the financial statements, and the reported amounts of revenues and expenses during the reporting period. Actual results could differ from those estimates.

**Cash and cash equivalents:** Cash and cash equivalents consist of highly-liquid investments with maturity dates of three months or less, which are readily convertible into cash.

**Investments:** Marketable and U.S. Government securities are reported at their market values. Securities traded on a national securities exchange are valued at the last reported trading price on the last business day of the year. Realized gains or losses are determined by comparison of asset cost to net proceeds received. Unrealized gains or losses are determined by comparison of asset cost to market values at the end of the year.

Investments include program-related loans, net of allowance, which are due from various not-for-profit organizations, valued at \$8,208,724 and \$8,749,350 at December 31, 2017 and 2016, respectively. The notes receivable are due at various dates, from 2018 through 2032 and carry interest at rates between 1% and 2%; principal and interest payment arrangements vary by note. There were no unfunded note commitments as of December 31, 2017 and 2016. The Foundation has an additional mission-related loan due from a not-for-profit organization valued at \$623,636 and \$724,979 as of December 31, 2017 and 2016, respectively. This investment is secured by a deposit account.

The Foundation invests in certain alternative investments which include investments in limited partnerships. Market values represent the Foundation's pro rata interest in the net assets of each limited partnership as of December 31, 2017 and 2016, as provided by the fund managers. Market values as of December 31, 2017 and 2016 are not based on audited financial information supplied by the general partner or manager of the funds. Audited information is only available annually based on the partnerships' or funds' year end. Management reviews monthly valuations provided by the general partner or manager of the funds and assesses the reasonableness of the fair values provided at the interim dates and included in the financial statements. As of December 31, 2017 and 2016, the Foundation had total unfunded capital commitments related to alternative investments of \$1,037,500 and \$1,598,806, respectively. There is a conditional unfunded capital commitment of \$3,250,000 at December 31, 2017. Because of the inherent uncertainty of the valuation of alternative investments, the market values reflected in the accompanying financial statements may differ significantly from realizable values.

**Allowance for uncollectible interest:** Investments in program-related loans are stated at the present value of the amount management expects to collect from outstanding balances. Management provides for probable uncollectible amounts through a provision for uncollectible accounts, and a credit to a valuation allowance, based on its assessment of the current status of individual accounts. At December 31, 2017 and 2016, an allowance for uncollectible accounts in the amount of \$-0- and \$583,000, respectively, is netted against investments in notes receivable.

Interest receivable is stated at the amount management expects to collect from outstanding balances. Management provides for probable uncollectible amounts through a provision for uncollectible interest, and a credit to a valuation allowance, based on its assessment of the current status of individual accounts. At December 31, 2017 and 2016, an allowance for uncollectible interest in the amount of \$-0- and \$268,886, respectively, is netted against interest receivable.

**Furniture, equipment, and leasehold improvements** Furniture, equipment, and leasehold improvements are stated at cost. Amortization and depreciation is recorded using both straight-line and accelerated methods over the estimated useful lives of the assets. Depreciation and amortization expense amounted to \$57,251 and \$53,360 for the years ended December 31, 2017 and 2016, respectively.

**Fair value measurement - definition and hierarchy:** The Foundation follows FASB ASC 820-10, Fair Value Measurements. Under this standard, fair value is defined as the price that would be received to sell an asset or paid to transfer a liability (i.e., the "exit price") in an orderly transaction between market participants at the measurement date.

In determining fair value, the Foundation uses various valuation approaches, including market, income, and / or cost approaches. FASB ASC 820-10 establishes a hierarchy for inputs used in measuring fair value that maximizes the use of observable inputs, and minimizes the use of unobservable inputs by requiring that the most observable inputs be used when available. Observable inputs are inputs that market participants would use in pricing the asset or liability, developed based on market data obtained from sources independent of the Foundation. Unobservable inputs reflect the Foundation's assumptions used in pricing the asset or liability based on the best information available in the circumstances. The hierarchy is broken down into three levels, based on the reliability of inputs, as follows:

LEVEL 1 – Valuations based on quoted prices (unadjusted) in active markets for identical assets or liabilities that the Foundation has the ability to access.

Assets and liabilities utilizing Level 1 inputs include: exchange-traded equity securities that are actively traded.

LEVEL 2 – Valuations based on quoted prices in markets that are not active or for which all significant inputs are observable, either directly or indirectly.

Assets and liabilities utilizing Level 2 inputs include: government bonds, corporate bonds, foreign bonds, private equity investments, charitable reserve funds, and program related savings.

LEVEL 3 – Valuations based on inputs that are unobservable and significant to the overall fair value measurement.

Assets and liabilities utilizing Level 3 inputs include: equity securities that are not actively traded, private equity investments, and program related/other investments held in loans.

**Recent accounting pronouncements** In August 2016, the FASB issued ASU 2016-14 entitled, Presentation of Financial Statements of Not-for-Profit Entities. The update was issued to improve the current net asset classification requirements and the information presented in financial statements and notes about a not-for-profit entity's liquidity, financial performance, and cash flows. The effective date is for fiscal years beginning after December 15, 2017, and is to be applied retrospectively. Management has not yet determined whether the new standard will have a material effect on its financial statements.

In May 2015, the FASB issued ASU 2015-07 entitled, Disclosures for Investments in Certain Entities that Calculate Net Asset Value per Share (or Its Equivalent). The amendments in this update remove the requirement to categorize within the fair value hierarchy all investments for which fair value is measured using the net asset value per share practical expedient. The amendments also remove the requirement to make certain disclosures for investments eligible to be measured at fair value using the net asset value per share practical expedient. The effective date is for fiscal years beginning after December 15, 2016, and is to be applied retrospectively. During 2017, the Foundation implemented this update retrospectively as it relates to the charitable reserve fund. Adoption of this accounting standards update did not have an impact on reported amounts in the financial statements, but rather changed the fair value disclosures.

## NOTE 2: INVESTMENTS

Cost and market value of investments were as follows:

	2017		2016	
	Market Value	Cost	Market Value	Cost
Fixed income securities	\$ 18,998,258	\$ 19,153,224	\$ 21,230,373	\$ 21,539,738
Common stocks and alternative investments	538,448,254	204,839,531	495,174,838	212,654,360
Total	\$ 557,446,512	\$ 223,992,755	\$ 516,405,211	\$ 234,194,098

Market values of investments are based on December 31, 2017 and 2016 published quotations, except that estimates are used when quotations are not available. Fixed income securities consist of U.S. government securities, U.S. government guaranteed securities, corporate securities, and charitable reserve funds. Common stocks and alternative investments consist principally of U.S. and international equity securities, program and mission-related investments, and investments in limited partnerships.

### NOTE 3: FAIR VALUE DISCLOSURE AND MEASUREMENT

Published market quotations do not necessarily represent realizable values, particularly where sizable holdings of a company's stock exist, as in the case of the Foundation's holding of the Kellogg Company common stock

The Foundation's assets and liabilities recorded at fair value have been categorized based upon a fair value hierarchy in accordance with FASB ASC 820-10. See Note 1 for a discussion of the Foundation's policies regarding this hierarchy.

The following fair value hierarchy tables present information about the Foundation's assets and liabilities measured at fair value on a recurring basis:

December 31, 2017	Fair Value Measurements at Reporting Date Using			Balance
	Quoted Prices in Active Markets for Identical Assets (Level 1)	Significant Other Observable Inputs (Level 2)	Significant Unobservable Inputs (Level 3)	
<b>Corporate Stock</b>				
Consumer goods	\$ 116,927,716	\$ —	\$ —	\$ 116,927,716
Financial	18,318,758	—	—	18,318,758
Services	21,403,223	—	—	21,403,223
Industrial goods	5,617,190	—	—	5,617,190
Basic materials	13,928,403	—	—	13,928,403
Technology	18,952,187	—	—	18,952,187
Healthcare	10,365,062	—	—	10,365,062
Media	6,013,328	—	—	6,013,328
Mfg - Other	1,488,239	—	—	1,488,239
Closely-held	—	—	100	100
<b>Preferred Stock</b>				
Preferred stock	—	124,100	—	124,100
<b>Bonds</b>				
Corporate	—	4,880,438	—	4,880,438
United States Treasury and Agency	—	3,437,886	—	3,437,886
State and Municipal	—	905,754	—	905,754
Foreign	—	57,890	—	57,890
<b>Limited Partnerships</b>				
Limited partnerships	—	228,371,042	88,081,198	316,452,240
<b>Other Investments</b>				
Program-related savings	—	25,348	—	25,348
Program-related loans, net of allowance	—	—	8,208,724	8,208,724
Mission-related investment	—	—	623,636	623,636
<b>Charitable Reserve Fund (a)</b>				
Charitable reserve fund (a)	—	—	—	9,716,290
<b>Total Fair Value Assets</b>	<b>\$ 213,014,106</b>	<b>\$ 237,802,458</b>	<b>\$ 96,913,658</b>	<b>\$ 557,446,512</b>

December 31, 2016	Fair Value Measurements at Reporting Date Using			Balance
	Quoted Prices in Active Markets for Identical Assets (Level 1)	Significant Other Observable Inputs (Level 2)	Significant Unobservable Inputs (Level 3)	
<b>Corporate Stock</b>				
Consumer goods	\$ 129,639,397	\$ —	\$ —	\$ 129,639,397
Financial	16,312,343	—	—	16,312,343
Services	15,837,545	—	—	15,837,545
Industrial goods	1,858,780	—	—	1,858,780
Basic materials	12,609,508	—	—	12,609,508
Technology	16,017,639	—	—	16,017,639
Healthcare	7,769,469	—	—	7,769,469
Media	7,368,092	—	—	7,368,092
Retail	4,519,708	—	—	4,519,708
Mfg - Other	2,554,106	—	—	2,554,106
Closely-held	—	—	100	100
<b>Bonds</b>				
Corporate	—	4,277,385	—	4,277,385
United States Treasury and Agency	—	4,544,946	—	4,544,946
State and Municipal	—	1,048,186	—	1,048,186
Foreign	—	174,293	—	174,293
<b>Limited Partnerships</b>				
Limited partnerships	—	200,600,732	70,587,806	271,188,538
<b>Other Investments</b>				
Program-related savings	—	25,284	—	25,284
Program-related loans, net of allowance	—	—	8,749,350	8,749,350
Mission-related investment	—	—	724,979	724,979
<b>Charitable Reserve Fund (a)</b>				
Charitable reserve fund (a)	—	—	—	11,185,563
<b>Total Fair Value Assets</b>	<b>\$ 214,486,587</b>	<b>\$ 210,670,826</b>	<b>\$ 80,062,235</b>	<b>\$ 516,405,211</b>

(a) In accordance with Subtopic 820-10, certain investments that are measured at fair value using the net asset value per share (or its equivalent) practical expedient have not been classified in the fair value hierarchy. The fair value amounts presented in this table are intended to permit reconciliation of the fair value hierarchy to the amounts presented in the statements of financial position.

The following table provides a reconciliation of changes in Level 3, assets and liabilities measured at fair value on a recurring basis for the year ended December 31, 2017:

	Fair Value Measurements Using Significant Unobservable Inputs (Level 3)			
	Limited Partnerships	Other Investments	Common Stock	Total
Beginning balance – January 1, 2017	\$ 70,587,806	\$ 9,474,329	\$ 100	\$ 80,062,235
Total gains or losses (realized/unrealized) included in changes in net assets	18,540,360	—	—	18,540,360
Purchases	411,307	362,500	—	773,807
Sales proceeds	(1,458,275)	(1,004,469)	—	(2,462,744)
Ending balance – December 31, 2017	\$ 88,081,198	\$ 8,832,360	\$ 100	\$ 96,913,658
The amount of total gains or losses for the period included in changes in net assets attributable to the change in unrealized gains or losses relating to assets still held at the reporting date	\$ 17,940,760	\$ —	\$ —	\$ 17,940,760

The following table provides a reconciliation of changes in Level 3, assets and liabilities measured at fair value on a recurring basis for the year ended December 31, 2016:

	Fair Value Measurements Using Significant Unobservable Inputs (Level 3)			
	Limited Partnerships	Other Investments	Common Stock	Total
Beginning balance – January 1, 2016	\$ 67,944,398	\$ 9,253,373	\$ 100	\$ 77,197,871
Total gains or losses (realized/unrealized) included in changes in net assets	4,218,249	—	—	4,218,249
Purchases	160,000	1,176,800	—	1,336,800
Sales proceeds	(1,734,841)	(955,844)	—	(2,690,685)
Ending balance – December 31, 2016	\$ 70,587,806	\$ 9,474,329	\$ 100	\$ 80,062,235
The amount of total gains or losses for the period included in changes in net assets attributable to the change in unrealized gains or losses relating to assets still held at the reporting date	\$ 3,142,996	\$ —	\$ —	\$ 3,142,996

The following table represents the Foundation's level 3 financial instruments, the valuation techniques used to measure the fair value of those financial instruments, the significant unobservable inputs and the ranges of values for those inputs.

Instrument	Fair Values	Principal Valuation Technique	Unobservable Inputs	Range of Significant Input Values	Weighted Average
Closely held stock	\$ 100	Recent sales	Recent sales	—	—
Program-related loans	\$ 8,208,724	Discounted cash flows	Applicable interest and term	—	—
Mision-related loan	\$ 623,636	Discounted cash flows	Applicable interest and term	—	—

At December 31, 2017, the Foundation's limited partnerships are subject to withdrawal restrictions as follows:

	Limited partnerships	
Available for redemption:		
Monthly	\$	309,266,293
Subject to distribution		7,185,947
Total	\$	316,452,240

Investments that are available for redemption may be redeemed by the Foundation generally with 15- to 30-day advance notice on a monthly basis, subject to the terms of the investment agreement.

Investments subject to distribution cannot be redeemed by the Foundation, but rather will be distributed by the limited partnership upon the liquidation of the underlying assets of the partnership. Distributions are generally expected, but not guaranteed, over the next five to ten years.

The investment objective for limited partnerships is long-term capital appreciation in excess of what is available in the public markets. Private equity funds generally hold illiquid debt and equity securities of public and / or privately-held companies. This asset class includes venture capital, buyout, and distressed funds.

Gains and losses (realized and unrealized) from Level 3 investments included in changes in net assets include net realized investment gains of \$599,600 and \$1,075,253 for the periods ended December 31, 2017 and 2016, respectively, and net unrealized investment gains of \$17,940,760 and \$3,142,996 for the periods ended December 31, 2017 and 2016, respectively.

At December 31, 2017 and 2016, the Foundation's charitable reserve fund was not subject to withdrawal restrictions. The fund holds mostly fixed income investments, and is measured at fair value using the net asset value per unit as a practical expedient. There are no unfunded commitments related to this fund.

#### **NOTE 4: CREDIT CONCENTRATION**

Aside from its holdings in the Kellogg Company, the Foundation's portfolio of investments is highly diversified; however, at December 31, 2017 and 2016, 20% and 24% of the total market value of securities, and approximately 72%, respectively, of dividend income in each year are attributable to ownership of Kellogg Company stock.

#### **NOTE 5: GRANTS**

Grants are expensed upon approval by the Board of Trustees, payable upon the performance of specified conditions, and paid when the specified conditions are satisfied. Discretionary grants in amounts up to \$10,000 and cumulative for the year up to \$780,000 for the years ended December 31, 2017 and 2016, respectively, are recommended by the program officers and approved by the executive director, expensed upon approval, and ratified by the Board of Trustees at the following board meeting. Grants that are cancelled or in excess of needed amounts are included as a reduction of grant expense in the year they are cancelled or returned.

## NOTE 6: LEASES

The Foundation occupies office space in the Landmark Office Towers. The lease was renewed in March of 2018, and is effective January 1, 2019. This renewal extends the lease through December 31, 2023. There is a renewal option for an additional period. Rental expense for the years ended December 31, 2017 and 2016 amounted to \$135,148 and \$152,678, respectively.

The future minimum lease commitments under leases with terms in excess of one year are as follows:

2018	\$	131,337
2019		135,315
2020		139,368
2021		143,496
2022		147,849
	\$	697,365

## NOTE 7: NET ASSETS

Net assets include two board-designated funds (principal and income), both of which consist entirely of unrestricted net assets. The principal fund consists of investments in securities and receives the realized and unrealized gains or losses on those assets. The income fund receives interest and dividends on the principal fund investments, which are used for grants and administrative expenses. The statements of financial position included the following income fund accounts:

	2017		2016	
Cash	\$	964,629	\$	5,930,611
Receivables		1,180,974		1,085,501
Other assets		66,234		65,337
Due to principal fund		(3,680,785)		(2,522,322)
Accounts payable		(839,858)		(752,386)
Grants payable		(12,402,508)		(16,710,378)
Deferred federal excise tax		7,000		6,554
	\$	(14,704,314)	\$	(12,897,083)

The following is a summary of the changes in total net assets:

	2017		2016	
Income fund	\$	(1,807,231)	\$	7,743,811
Principal fund		42,014,391		7,851,557
Increase in net assets		40,207,160		15,595,368
Net assets – beginning		504,596,172		489,000,804
Net assets – ending	\$	544,803,332	\$	504,596,172

The change in individual funds includes transfers by the Foundation of \$24,721,262 and \$27,015,383 in 2017 and 2016, respectively, from the principal fund to the income fund.

#### **NOTE 8: EMPLOYEE BENEFIT PLAN**

The Foundation has an employee's tax-sheltered annuity plan for all eligible employees. Such a plan is intended to comply with the requirements of Section 403(b) of the Internal Revenue Code (IRC). Employer contributions are required at 9% of the participants' compensation up to the social security wage base for the year, and 14.7% of the participant's compensation in excess of this wage base, with a limit of \$275,000 and \$270,000 of compensation for the years ended December 31, 2017 and 2016, respectively. Employer contributions to the plan for the years ended December 31, 2017 and 2016 amounted to \$132,462 and \$123,659, respectively. Participants are also permitted to make salary reduction contributions to the plan.

#### **NOTE 9: EXCISE TAXES**

The Foundation is exempt from federal income taxes under Section 501(c)(3) of the IRC, but is subject to a 2% (1% if certain criteria are met) federal excise tax on net investment income, including net realized gains, as defined by the IRC.

Deferred federal excise taxes are provided on the unrealized appreciation or depreciation of investments and interest, and dividend income and certain expenses being reported for financial statement purposes in different periods than for tax purposes.

Current excise taxes were provided at 1% (qualified for reduced excise tax rate) for 2017 and 2016, and deferred excise taxes were provided at 2% for both 2017 and 2016. The current and deferred portions of the excise tax provisions were \$296,739 and \$1,024,948 respectively, for a total expense of \$1,321,687 in 2017. The current and deferred portions of the excise tax provisions were \$674,847 and \$523,079 respectively, for a total expense of \$1,197,926 in 2016.

The Organization follows the provisions of FASB ASC 740-10, Income Taxes, which provides guidance on the recognition threshold that a tax position is required to meet before being recognized in the financial statements and provides guidance on derecognition, measurement, classification, interest and penalties, accounting in interim periods, disclosure, and transition issues. Management has evaluated and concluded that there were no material uncertain tax positions requiring recognition in the accompanying financial statements.

Accrued interest relating to uncertain tax positions would be recorded as a component of interest expense, and penalties relating to uncertain tax positions would be recorded as a component of general and administrative expenses.

The federal tax returns of the Organization for 2015, 2016, and 2017 are subject to examination by the IRS, generally for three years after they were filed.

#### **NOTE 10: SUBSEQUENT EVENTS**

The Organization has evaluated subsequent events from the statement of financial position date through June 4, 2018.

# Independent Auditors' Report

## TO THE BOARD OF TRUSTEES, THE GEORGE GUND FOUNDATION

We have audited the accompanying financial statements of The George Gund Foundation (an Ohio private foundation), which comprise the statement of financial position as of December 31, 2017, and the related statements of activities and cash flows for the year then ended, and the related notes to the financial statements.

### Management's Responsibility for the Financial Statements

Management is responsible for the preparation and fair presentation of these financial statements in accordance with accounting principles generally accepted in the United States of America; this includes the design, implementation, and maintenance of internal control relevant to the preparation and fair presentation of financial statements that are free from material misstatement, whether due to fraud or error.

### Auditor's Responsibility

Our responsibility is to express an opinion on these financial statements based on our audit. We conducted our audit in accordance with auditing standards generally accepted in the United States of America. Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the financial statements are free from material misstatement.

An audit involves performing procedures to obtain audit evidence about the amounts and disclosures in the financial statements. The procedures selected depend on the auditors' judgment, including the assessment of the risks of material misstatement of the financial statements, whether due to fraud or error. In making those risk assessments, the auditor considers internal control relevant to the entity's preparation and fair presentation of the financial statements in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the entity's internal control. Accordingly, we express no such opinion. An audit also includes evaluating the appropriateness of accounting policies used and the reasonableness of significant accounting estimates made by management, as well as evaluating the overall presentation of the financial statements.

We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our audit opinion.

### Opinion

In our opinion, the financial statements referred to above present fairly, in all material respects, the financial position of The George Gund Foundation as of December 31, 2017, and the changes in its net assets and its cash flows for the year then ended in accordance with accounting principles generally accepted in the United States of America.

### Other Matter

The financial statements of The George Gund Foundation for the year ended December 31, 2016, were audited by Walthall, LLP, who merged with Rea & Associates, Inc. on November 1, 2017. Their report expressed an unmodified opinion on those statements on May 22, 2017.



### Certified Public Accountants

Cleveland, Ohio

June 4, 2018

# Grant Guidelines

The history of The George Gund Foundation reflects a deep commitment to place, to the Greater Cleveland community that was the home of its founder and that remains the Foundation's home. The Foundation's philanthropic stewardship of this region derives not just from our history, but also from our belief that Cleveland can continue to develop original responses to urban issues and from our hope that collaborations across boundaries can create a crucible of innovation in all fields of endeavor. Moreover, the urban emphasis of our work stems from a belief that thriving cities are among the nation's best hopes for addressing our essential problems. This focus is especially vital in an era of diminished government involvement in urban issues, intensifying globalization and heightened awareness of the central role of regions.

The Foundation's guidelines reflect our long-standing interests in the arts, economic development and community revitalization, education, environment and human services because these areas embrace most of the major issues that any community must address. While we continue to organize much of our work within these program areas, there is increasing awareness that many issues and, therefore, many grant proposals do not fit neatly into one program category. Indeed, the work of a growing number of nonprofit organizations brings together aspects of several of our core interests, and, as a result, we are becoming ever more interdisciplinary in our approach.

This is particularly evident with initiatives that aim to make Cleveland, and urban areas generally, more globally competitive, livable, sustainable and just. It is in this domain that the greatest need and maximum opportunity converge with the Foundation's primary interests, expertise and ongoing stewardship. We especially seek to support innovative ideas being pursued by creative and entrepreneurial organizations.

Our primarily urban focus motivates us to devote attention and resources to the illumination of policies that shape the issues we care about. National, state and local policymaking affects all of the Foundation's work and the work of the organizations we fund. Consequently, we feel a special obligation to support the nonpartisan voice of nonprofit advocacy in policy deliberations that directly relate to our program interests.

Global climate change is an urgent issue that cuts across all the Foundation's programs. Every organization and individual can help to address this problem. The Foundation takes seriously our own responsibility, and we want to hear from grant applicants what they are doing or considering to reduce or to eliminate their organizational impact on climate change. Our website includes links to helpful resources, and Foundation staff will assist grant seekers—both new and those of long standing—in all of our program areas with questions they may have.

## **Arts**

The Foundation values and supports the role the arts play in making Cleveland and its region a more desirable place to live, encouraging the growth of a creative workforce, catalyzing development in our neighborhoods and serving as a bridge between various segments of the community. The Foundation encourages a lively, diverse arts community in Greater Cleveland by funding projects in the city and its first-ring suburbs that contribute to Cleveland's urban vitality, attract new audiences, expand artistic offerings and increase organizational capacity. We also try to balance continuing support that ensures the stability of Cleveland's arts institutions with funding for new initiatives, programs and organizations that foster creativity in our community. Arts education continues to be a priority, with a focus on curriculum-related partnerships between arts organizations and the Cleveland Metropolitan School District. In addition, the Foundation seeks to advance the region's understanding of the importance of the arts by supporting the Community Partnership for Arts and Culture.

## **Economic Development & Community Revitalization**

Sustaining uniquely urban assets such as vibrant neighborhoods and a thriving downtown is a key part of a successful regional strategy to promote economic growth. The Foundation devotes considerable attention to these dynamics, in particular by supporting collaborative efforts that leverage resources. As a result, the highest priority is given to initiatives that bolster the impact of Foundation-supported intermediary organizations working to improve the competitiveness of Cleveland's neighborhoods and its metropolitan region. Examples of such initiatives include quality urban planning and design, improvements to urban parks and public spaces, promotion of equal opportunity and diversity in housing and the workplace and proposals to redevelop Cleveland's downtown, neighborhoods and first-ring suburbs.

## **Education**

Education is fundamental to success, and if Cleveland is to produce, attract and retain talent and be the thriving center of a robust regional economy, expectations about education must rise exponentially. Consequently, our Foundation's focus is on the transformation of public education in Cleveland in order to equip children from early childhood onward with the skills they ultimately will need to meet the demands of college, the 21st-century workplace and international standards. Our primary area of interest is the creation and support of new, innovative, excellent schools in Cleveland that drive autonomy and accountability to the school level and create different teaching and learning conditions to ensure student success. We support statewide policy and advocacy efforts in furtherance of this work, particularly related to the importance of high-quality teachers and principals in every classroom and school. We also maintain a desire to support disadvantaged students through key transitions, especially to higher education.

## **Environment**

Human well-being is inextricably linked to the quality of the environment. Urban areas bring this fact into sharp focus as historic disregard for the environment and modern development pressures create great challenges. Cleveland's relationship to these issues—and, therefore, its opportunity—are unique because the 1969 fire on the Cuyahoga River was a key factor in launching the modern environmental movement. The Foundation supports organizations that seek to build on that legacy in order to transform the community into a model of urban sustainability. The Foundation focuses on opportunities to take advantage of Cleveland's distinctive ecosystem to advance environmental improvements, promote alternatives to urban sprawl, devise innovative ways for cities to take a leading role in the fight against climate change and increase public awareness of environmental issues.

## **Human Services**

Heightened focus on developing a more globally competitive city and region demands recognition that people are at the heart of this effort and that all segments of society can make constructive contributions. To maximize those contributions, direct attention must be paid to the needs of those most at risk of being left out of social and economic transformation. Building human capital begins at birth, and the Foundation pays special attention to the needs of Greater Cleveland's disadvantaged children through grants to support early childhood care and education, abuse prevention and improved foster care and adoption systems. In addition, the Foundation provides some support for the local "safety net" of food, clothing, shelter and access to health care. A closely related set of interests is reflected in the Foundation's desire to help vulnerable populations achieve access to health insurance, the legal system, community support following release from prison and safe and affordable reproductive health services.

The Foundation normally does not consider grants for endowments. Capital requests must meet the Foundation's program goals and also adhere to "green building" standards of environmental sustainability. Details on these requirements are available from the Foundation. Grants are not made for debt reduction or to fund benefit events.

The Foundation does not make grants to individuals, nor does it administer programs it supports. Grants are limited to organizations located in the United States.

The Foundation makes grants only to organizations that meet Internal Revenue Code requirements as nonprofit tax-exempt organizations and to qualified government units and agencies. Grant funds may not be used to participate or intervene in any political campaign on behalf of or in opposition to any candidate for public office.

# Application Procedures

The Foundation encourages inquiries about the application of our funding guidelines to specific ideas in advance of the submission of formal proposals. Foundation staff welcome the opportunity to provide guidance, suggest alternatives and recommend partners.

Proposals are considered three times a year by the Foundation's Trustees. Deadlines for submitting proposals for consideration at the next regularly scheduled meeting of the Trustees are March 15 (for summer meeting), July 15 (for fall meeting) and November 15 (for winter-spring meeting). Proposals are due the next business day if a deadline falls on a weekend.

The Foundation now requires all applicants to use an online application form that is available at the Foundation's website, [www.GundFoundation.org](http://www.gundfoundation.org) (<http://www.gundfoundation.org/>). Applicants will receive email notification of proposal receipt. Proposals should include the following information:

## **Organizational Background**

History, mission, any current organizational issues, types of programs offered, constituencies served.

## **Project Description**

Justification of need, specific goals and objectives, activities planned to meet goals and objectives, project time line, qualifications of key personnel, methods of evaluation.

## **Project Budget**

Anticipated expenses, including details about how Foundation funds would be used, and anticipated income, including information about other sources approached for funding.

## **Organizational Budget**

Previous and current year budget and proposed budget for project year(s), showing both income and expenses.

## **Required Supporting Documents**

List of current trustees, most recent audited financial statement (if available). Arts organizations working with SMU DataArts (formerly Ohio Cultural Data Project) should submit the George Gund Foundation report available at [SMU DataArts \(https://culturaldata.org/\)](https://culturaldata.org/).

All proposals must include a climate change statement, a brief explanation of what the organization is doing or considering to reduce or to eliminate its impact on climate change. The Foundation's website includes resources to assist grantees with this task.

## **Optional Supporting Documents**

Letters of support, annual reports or brochures, media coverage.

Applicants with questions about grant application procedures should call the Foundation at 216.241.3114.

All proposals are screened and evaluated by the staff before presentation at Trustee meetings.

Grant seekers may obtain information about other foundation and corporate funding sources at the Foundation Center Library in Cleveland, 1422 Euclid Avenue, or by calling 800.424.9836 for the locations of other Foundation Center offices.

# Trustees & Staff



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**Randell McShepard**, Trustee

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**George Gund IV**, Trustee, on leave of  
absence beginning July 2014

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\* Fellowship started July 2017

\*\* Fellowship ended July 2017