



The George Gund Foundation  
**2010 Annual Report**

# President's Letter

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The compelling portraits of Cleveland performing artists by Amy Arbus in this annual report capture the energy, excitement, insight and innovation that these talented men and women bring to our community. These remarkable actors, dancers and musicians not only entertain and inspire us, but also challenge us to look at ourselves and our community in new and different ways. They build bridges between cultures, open our eyes to new possibilities and continually amaze us with their creativity.

The performing arts have a long, rich history in our community, and the Foundation is proud of the role it has played in supporting a diverse range of organizations and artists, not only in our formal concert halls and theaters but also in our neighborhoods, schools and parks. We also have supported ongoing efforts to encourage artists to make Cleveland their home because we recognize that their innovation and creativity are essential to this community's vitality.

As we say in our program guidelines, "The Foundation values and supports the role the arts play in making Cleveland and its region a more desirable place to live, encouraging the growth of a creative workforce, catalyzing development in our neighborhoods and serving as a bridge between various segments of the community."

The Foundation also has been a major supporter of public-private partnerships and advocacy efforts to ensure the future of a vibrant arts community, and we are proud that Cuyahoga County, thanks to voters who approved a cigarette tax in 2006, is one of the largest public arts funders in the country through Cuyahoga Arts and Culture (CAC).

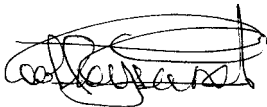
We were also a cofounder more than a decade ago of the Community Partnership for Arts and Culture (CPAC), which with funding from CAC, developed the Creative Workforce Fellowship program that each year recognizes and makes significant financial awards to 20 local artists. CPAC also started the unique Artist as Entrepreneur Institute and recently launched an innovative program, in collaboration with local community development organizations, to encourage artists to buy houses in Cleveland.

Several of our longtime grantees also took steps during 2010 and in the early months of 2011 to ensure that for many years to come they will be contributing to the region's vitality and supporting the artists who make Greater Cleveland their home.

The Museum of Contemporary Art Cleveland broke ground for its new building in University Circle. The Cleveland Play House announced a new partnership with Cleveland State University's theater department and a move to a renovated Allen Theatre in PlayhouseSquare. The Museum of Art continued to open new and renovated galleries that are part of its significant expansion program. The Cleveland Institute of Art completed the first phase of its move to a centralized campus on Euclid Avenue. The Rainey Institute moved from a cramped century home on East 55<sup>th</sup> Street to a new, spacious facility just a few blocks away.

The head of the National Endowment for the Arts, Rocco Landesman, uses the phrase "art works" when he advocates for support of the sector. He has three meanings for those two simple words: They are a noun that refers to works of art. They remind us that art works on audiences and viewers to transport and inspire them. And they recognize that arts workers have real jobs that are a vital part of our economy.

We couldn't agree with him more.



**Geoffrey Gund**  
President and Treasurer

# Executive Director's Letter

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Americans always have been of two minds regarding government. We are proud of our history of democracy, but we are frequently suspicious of government institutions. We want vast armed forces, good roads and great schools, but we sometimes oppose the taxes needed to pay for them. We expect government to stop oil spills and prevent tainted food and drugs from entering our markets, but we decry regulations.

This eternal debate accelerated in the 1960s with the onset of a concerted antigovernment campaign by several interest groups, funded in part by foundations, and today it has reached a feverish state with the rise of the Tea Party.

All of us carry elements of this conflicted view within us like a part of our national DNA. Most of this conflict focuses on the federal government, but recent fiscal stresses have brought new attention to the states. Rarely does our consideration shift to local government. Perhaps that is because part of the mythology of the anti-federal government argument is that local governments are closer to the people and, therefore, are more effectively guided.

But the fact is that in Ohio, as in many of the older parts of our country, local governments have not adapted to the demands of rapidly changing times. Northeast Ohio has more than 650 units of local government. Local government is fully 10 percent of our regional domestic product. Statewide, we spend 49 percent more than the national average on school administration because we have so many separate districts. Ohio ranks 34<sup>th</sup> in state taxes but ninth in local taxes because we have so many local governments and overlapping jurisdictions.

This galaxy of governments may have made sense when the most rapid travel was by horseback, but today we must seriously question our devotion to the delivery of so many services in such a fragmented way.

Northeast Ohio has made great strides in building regional teamwork. The remorselessly competitive global economy demands that we do so. We have stoked our spirit of entrepreneurship. We are successfully making the transition from the old economy to the new. Great challenges remain, and none is greater than the fact that our fragmented local governance too often keeps us from functioning as an effective regional economic team. Our political boundaries undermine the coherence of our regional voice. They promote competition among ourselves rather than against the world, and that costs us money, fuels unsustainable sprawl and undermines our competitiveness.

I certainly do not raise this point out of antigovernment animus. I worked for a decade in government and prize that experience and praise the dedication of most of those with whom I worked. Government has a vital role to play. Government is our way, the democratic way, of tackling issues that can only be addressed in common. Police and firefighting. Schools and child protective services. Parks and street cleaning. These and many more services are provided by local governments because we need them to be. But delivering them by so many local governments is not sustainable in the long term.

This state of affairs is not hopeless. The reform of Cuyahoga County government demonstrates what is possible if we muster the will. And, in fact, if we want a successful future, we must find ways to streamline service delivery across boundaries and maximize the impact of our regional tax dollars. We simply cannot count on resources from the federal and state governments. We must creatively overcome the divisions among us in order to forge the sort of strategy that can propel us into a vibrant future.

Vehicles to help us do this already exist.

Late in 2010, after a highly competitive process, the U.S. Department of Housing and Urban Development awarded \$4.25 million to Northeast Ohio for regional planning. Our proposal was ranked third in the nation. This "Sustainable Communities" process is just beginning, but we all should take advantage of it to create ingenious ways to strengthen our regional team.

A parallel attempt to help us break through boundaries is the Civic Commons. This effort — funded by the Knight Foundation and guided by the Fund for Our Economic Future — aims to engage our regional citizenry on issues where we can make change. This path-breaking marriage of technology, journalism and outreach is one more tool to help us chip away at the boundaries that keep us from effectively working together. You can connect to information on both efforts through the website of the Fund for Our Economic Future, [www.FutureFundNEO.org](http://www.FutureFundNEO.org) or at [www.CivicCommons.org](http://www.CivicCommons.org)

One of the worst consequences of mindless antigovernment sentiment is that it makes us think that government is somehow separate from us. That is fatal to a democracy. We get the government we deserve. We own it. We can change it. And we ought to start doing that where we live.



**David T. Abbott**  
Executive Director

# 2010 Commitments Summary

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## Arts

51 grants and one program-related investment  
\$5,459,020

## Economic Development and Community Revitalization

39 grants  
\$5,491,770

## Education

18 grants  
\$3,858,000

## Environment

56 grants  
\$2,756,949

## Human Services

64 grants  
\$5,431,200

## Special Projects

24 grants  
\$2,577,400

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## Grand Total

252 grants and one program-related investment | \$25,574,339

## Total since inception of the Foundation in 1952

\$564,087,485

# Arts

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The Foundation made additional capital commitments to two organizations, the Gordon Square Arts District and the Museum of Contemporary Art Cleveland, that are contributing to the revitalization of key urban areas. The Foundation also continued its commitment to ensuring the vitality of Cleveland's arts community and nurturing its creative class with grants to organizations, both large and small, that reached audiences in neighborhoods and schools as well as in traditional performance and exhibition venues and that offered opportunities for local artists. Arts grantmaking totaled \$3,459,020 as well as a \$2 million program-related investment.

## EDUCATION

### The Broadway School of Music and the Arts

Cleveland, Ohio  
Operating support  
\$10,000

### The Cleveland Music School Settlement

Cleveland, Ohio  
Early childhood director search  
\$50,000

### The Cleveland Music School Settlement

Cleveland, Ohio  
Cleveland BoyChoir merger  
\$5,000

### Cleveland State University Foundation, Inc.

Cleveland, Ohio  
Cleveland Arts Education Consortium  
\$10,000

### Great Lakes Theater Festival, Inc.

Cleveland, Ohio  
Education programming  
\$90,000

### Musical Theater Education Programming Inc.

Lakewood, Ohio  
Market research  
\$7,500

### Progressive Arts Alliance Incorporated

Cleveland, Ohio  
Database capacity-building project  
\$20,000

### Young Audiences of Northeast Ohio Inc.

Cleveland, Ohio  
Art is Education  
\$125,000

### Young Audiences of Northeast Ohio Inc.

Cleveland, Ohio  
ArtWorks 2010  
\$10,000

## FILM AND MEDIA

### The Cleveland International Film Festival, Inc.

Cleveland, Ohio  
Operating and project support  
\$85,000

### ideastream

Cleveland, Ohio  
News and public affairs programming  
\$500,000 *over 2 years*

## PERFORMING ARTS

### CityMusic Cleveland Inc.

Cleveland, Ohio  
Cleveland concerts  
\$16,000

### Cleveland Jazz Orchestra

Bedford, Ohio  
Jazz at the Hanna  
\$15,000

### Cleveland Modern Dance Association

Cleveland, Ohio  
Operating support  
\$35,000

### The Cleveland Play House

Cleveland, Ohio  
Operating support  
\$80,000

### The Cleveland Pops Orchestra, Inc.

Beachwood, Ohio  
Administrative support  
\$50,000 *over 2 years*

### Cleveland Public Theatre, Inc.

Cleveland, Ohio  
Operating support  
\$80,000

### The Cleveland Women's Orchestra

Rocky River, Ohio  
75th anniversary concert  
\$2,000

### The Contemporary Youth Orchestra

Cleveland, Ohio  
Audience expansion  
\$32,000 *over 2 years*

### Dobama Theatre, Inc.

Cleveland Heights, Ohio  
Operating support  
\$25,000

### Groundworks Dancetheater

Cleveland Heights, Ohio  
Operating support and staff expansion  
\$100,000 *over 2 years*

### InLet Dance Theatre

Cleveland Heights, Ohio  
Trainee and apprentice program  
\$8,000

### Karamu House

Cleveland, Ohio  
Theater support  
\$50,000

### Musical Upcoming Stars in the Classics

Chagrin Falls, Ohio  
Marketing  
\$2,000

### Near West Theatre, Inc.

Cleveland, Ohio  
Operating support  
\$20,000

### Opera Circle, Inc.

Cleveland, Ohio  
Operating support  
\$15,000

### Opera Cleveland

Cleveland, Ohio  
Operating and transition support  
*Up to* \$100,000

### Professional Flair, Inc.

Cleveland, Ohio  
Reconstruction of "The Snowman" sets and costumes  
\$15,000

### Verb Ballets

Cleveland, Ohio  
Operating support  
\$35,000

## SPECIAL PROGRAMS

### Arts Collinwood Inc.

Cleveland, Ohio  
Leadership transition  
\$10,000

### The Beck Center for the Cultural Arts

Lakewood, Ohio  
Technology upgrade  
\$25,000

### Cleveland Festival of Art and Technology Inc.

Cleveland, Ohio  
Ingenuity Festival  
\$110,000

### Community Partnership for Arts and Culture

Cleveland, Ohio  
Operating support  
\$210,000

### Gordon Square Arts District

Cleveland, Ohio  
Near West Theatre building project  
\$500,000

### Gordon Square Arts District

Cleveland, Ohio  
Discover Gordon Square Arts District Day  
\$1,500

### ideastream

Cleveland, Ohio  
Idea Center building expansion  
\$100,000

### The Pew Charitable Trusts

Philadelphia, Pennsylvania  
Ohio Cultural Data Project  
\$25,000

### The Soldiers and Sailors Monument Support Group

Cleveland, Ohio  
Memorial honoring African-American Civil War soldiers  
\$20,000

### Western Reserve Historical Society

Cleveland, Ohio  
Website redesign  
\$45,020

## VISUAL ARTS

### Artists Archives of the Western Reserve

Cleveland, Ohio  
Sustainable art exhibition  
\$3,000

## Association of Midwest Museums

St. Louis, Missouri

Association of Midwest Museums conference in Cleveland

\$5,000

## Building Hope in the City

Cleveland, Ohio

World Refugee Day exhibition

\$3,000

## Cleveland Public Art, Inc.

Cleveland, Ohio

Operating support

\$95,000

## Heights Arts Collaborative Inc.

Cleveland Heights, Ohio

Gallery expansion

\$10,000

## Museum of Contemporary Art Cleveland

Cleveland, Ohio

Capital grant

\$500,000

## Museum of Contemporary Art Cleveland

Cleveland, Ohio

Operating support

\$90,000

## Parkworks, Inc.

Cleveland, Ohio

Mural My Neighborhood

\$20,000 *over 2 years*

## Sculpture Center

Cleveland, Ohio

Operating support

\$4,000

## SPACES

Cleveland, Ohio

Operating support

\$55,000

## St. Vincent Quadrangle, Inc.

Cleveland, Ohio

Red Dot project

\$15,000

## Zygote Press Inc.

Cleveland, Ohio

Operating support

\$20,000

### **PROGRAM-RELATED INVESTMENT**

## Museum of Contemporary Art Cleveland

Cleveland, Ohio

Program-related investment

\$2,000,000

# Economic Development and Community Revitalization

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The Foundation renewed its multi-year support for Neighborhood Progress, Inc., a community development intermediary supporting and investing in the revitalization of Cleveland. The Foundation also continued support for other organizations working effectively to create a vibrant city, including Downtown Cleveland Alliance, Greater Cleveland Media Development Corporation and Parkworks. The Cleveland Housing Network and East Side Organizing Project also received funding for programs responding to the mortgage foreclosure crisis. Economic development and community revitalization grantmaking totaled \$5,491,770.

## **ECONOMIC DEVELOPMENT**

### **The Brookings Institution**

Washington, DC  
Metro Policy Program support  
\$300,000 *over 3 years*

### **City of Cleveland**

Cleveland, Ohio  
Reimagining and Reshaping Cleveland project  
\$7,500

### **Cleveland Development Foundation**

Cleveland, Ohio  
Cleveland-to-Pittsburgh Tech Belt Initiative  
\$5,000

### **Consortium of African American Organizations**

Cleveland, Ohio  
Ohio Homecoming Week  
\$5,000

### **Downtown Cleveland Alliance**

Cleveland, Ohio  
Operating and project support  
*Up to \$250,000*

### **Economic Growth Foundation**

Cleveland, Ohio  
Opportunity Corridor project  
\$100,000 *over 2 years*

### **The Entrepreneurs Edge, Inc.**

Independence, Ohio  
Innovation education program  
\$10,000

### **Greater Cleveland Media Development Corporation**

Cleveland, Ohio  
Operating, marketing and professional development  
\$45,000

### **Greater Ohio Policy Center Inc.**

Columbus, Ohio  
Restoring Prosperity to Ohio report  
\$10,000

### **Hispanic Business Association**

Cleveland, Ohio  
Small Business Accounting Lab  
\$9,520

### **Midtown Cleveland**

Cleveland, Ohio  
Midtown health and technology corridor  
\$100,000 *over 2 years*

### **Presidents' Council Foundation Inc.**

Cleveland, Ohio  
Operating support  
\$25,000

## **HOUSING AND COMMUNITY REVITALIZATION**

### **Cleveland Housing Network, Inc.**

Cleveland, Ohio  
Operating support  
\$100,000

### **Cleveland Neighborhood Development Corporation**

Cleveland, Ohio  
Operating support  
\$20,000

### **East Side Organizing Project, Inc.**

Cleveland, Ohio  
Operating support  
\$25,000

### **Housing Research & Advocacy Center**

Cleveland, Ohio  
Shared network server  
\$4,500



### Neighborhood Progress, Inc.

Cleveland, Ohio  
Operating support  
\$3,600,000 *over 3 years*

### Neighborhood Progress, Inc.

Cleveland, Ohio  
Reclaiming Vacant Properties conference  
\$5,000

#### **SPECIAL PROGRAMS**

### City Club of Cleveland

Cleveland, Ohio  
Centennial anniversary events  
\$120,000 *over 3 years*

### Cleveland Leadership Center

Cleveland, Ohio  
Operating support  
\$50,000

### Cleveland Public Art, Inc.

Cleveland, Ohio  
Fresh Water e-magazine  
\$10,000

### Cleveland Synergy Foundation

Cleveland, Ohio  
Gay Games IX in Cleveland and Akron  
\$10,000

### Detroit Shoreway Community Development Organization

Cleveland, Ohio  
TEDx conference  
\$7,500

### East Cleveland Public Library

East Cleveland, Ohio  
Assessment of potential merger with Cuyahoga County Public Library system  
\$8,000

### Economic Growth Foundation

Cleveland, Ohio  
County government reform initiative  
\$100,000

### Enterprise Community Partners, Inc.

Columbia, Maryland  
Enterprise Rose Architectural Fellowship in Cleveland  
\$25,000

### Hispanic Roundtable Community Programs

Cleveland, Ohio  
Hispanic convention  
\$7,500

### Neighborhood Progress, Inc.

Cleveland, Ohio  
Fresh Water e-magazine  
\$10,000

### Northeast Ohio Council on Higher Education

Cleveland, Ohio  
Ohio Talent Dividend Summit  
\$500

### Policy Matters Ohio

Cleveland, Ohio  
Operating support  
\$60,000

### PolicyBridge

Cleveland, Ohio  
Operating support  
\$25,000

### Summer on the Cuyahoga

Bay Village, Ohio  
Nonprofit internships  
\$5,000

### Tides Center

San Francisco, California  
Great Lakes Urban Exchange annual conference  
\$10,000

### Trinity Cathedral

Cleveland, Ohio  
Community programs  
\$10,000

### University Circle Incorporated

Cleveland, Ohio  
Fresh Water e-magazine  
\$10,000

#### **URBAN DESIGN, PLANNING AND AMENITIES**

### Cleveland Restoration Society, Inc.

Cleveland, Ohio  
Preservation programs  
\$20,000

### Kent State University Foundation, Inc.

Kent, Ohio  
Cleveland Urban Design Collaborative  
\$50,000

### Parkworks, Inc.

Cleveland, Ohio  
Operating, project and merger support  
\$325,000

### University Circle Incorporated

Cleveland, Ohio  
Uptown project  
\$6,750

# Education

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The Foundation made an unprecedented \$2.5 million commitment to support the Cleveland Municipal School District (CMSD) transformation plan, which strives to provide excellent and innovative schools for all Cleveland students by ensuring faculty and staff quality and accountability, new school development and a right-sized district. Support also went to Breakthrough Charter Schools as well as development of sound guidelines for CMSD charter school sponsorship. The Foundation continued its leadership role, in partnership with Ohio Grantmakers Forum and the Greater Cleveland Partnership, on statewide education policy focused on improving principal and teacher quality and graduating students ready to compete in the 21st century's global economy. Total education grantmaking was \$3,858,000.

## **NATIONAL EDUCATION POLICY**

### Center on Education Policy

Washington, DC  
Operating support  
\$300,000 over 2 years

## **PRIMARY AND SECONDARY EDUCATION**

### The Cleveland Foundation

Cleveland, Ohio  
Cleveland Municipal School District transformation plan  
\$2,500,000

### Cleveland International Film Festival, Inc.

Cleveland, Ohio  
"Waiting for Superman" project in Cleveland  
\$7,000

### Cleveland Municipal School District

Cleveland, Ohio  
Council of Great City Schools' annual legislative conference  
\$10,000

### Cleveland Scholarship Programs, Inc.

Cleveland, Ohio  
Advisory services and scholarships for Cleveland Municipal School District students  
\$150,000 over 17 months

### E City

Cleveland, Ohio  
Youth entrepreneurship education programs  
\$20,000

### Friends of E Prep Schools

Cleveland, Ohio  
Breakthrough Charter Schools start-up support  
\$150,000

### Hathaway Brown School

Shaker Heights, Ohio  
Aspire program  
\$40,000

### ideastream

Cleveland, Ohio  
2010 FIRST Buckeye Robotics Team  
\$6,000

### Northeastern Ohio Science and Engineering Fair

Cleveland, Ohio  
Northeastern Ohio Science and Engineering Fair  
\$2,500

### Northeastern Ohio Science and Engineering Fair

Cleveland, Ohio  
Northeastern Ohio Science and Engineering Fair  
\$2,500

### Ohio Grantmakers Forum

Columbus, Ohio  
State education policy initiative  
\$35,000

### Ohio Grantmakers Forum

Columbus, Ohio  
Ohio's Race to the Top initiative  
\$10,000

### Urban School News

Cleveland, Ohio  
Catalyst: Ohio newsmagazine  
\$100,000 over 7 months

### Youth Opportunities Unlimited

Cleveland, Ohio  
Program and operating support  
\$75,000

**SPECIAL PROGRAMS**

**The Literacy Cooperative of Greater Cleveland**

Cleveland, Ohio  
Program support  
\$200,000

**OneCommunity**

Cleveland, Ohio  
Program and technical support staff for the Cleveland Municipal  
School District and operating support  
\$225,000 *over 18 months*

**United Labor Agency, Inc.**

Cleveland, Ohio  
Ohio Youth Voices program  
\$25,000

# Environment

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The Foundation's grantmaking reflected its long-standing commitment to preserving Northeast Ohio's distinctive ecosystem as well as its support of efforts to help Cleveland become a model of urban sustainability. In addition, grants were provided for policy analysis and advocacy efforts aimed at increasing the percentage of renewable energy and energy efficiency in our state, promoting a robust local foods infrastructure, encouraging smart growth and addressing climate change. Environment grantmaking totaled \$2,756,949.

## **CONSERVATION OF NATURAL SYSTEMS AND BIODIVERSITY**

### **Alaska Conservation Foundation**

Anchorage, Alaska  
Operating support  
\$50,000 *over 2 years*

### **The Buckeye Forest Council, Inc.**

Columbus, Ohio  
Biomass project \$45,000

### **National Wildlife Federation**

Reston, Virginia  
Great Lakes Natural Resources Center  
\$100,000 *over 2 years*

### **Trust for Public Land**

San Francisco, California  
Rivergate Park project  
\$200,000

## **ENVIRONMENTAL JOURNALISM/PUBLIC EDUCATION**

### **EcoWatch**

Cleveland, Ohio  
Operating support  
\$40,000

### **EcoWatch**

Cleveland, Ohio  
Bioneers Cleveland conference  
\$5,000

### **State Environmental Leadership Program**

Madison, Wisconsin  
Annual conference  
\$5,000

## **NONPROFIT CAPACITY BUILDING**

### **Earth Day Coalition**

Cleveland, Ohio  
Strategic planning  
\$30,000

### **Environmental Support Center, Inc.**

Washington, DC  
Work on environmental justice and climate change  
\$25,000

### **Institute for Conservation Leadership**

Takoma Park, Maryland  
Strengthening Ohio Leaders and Organizations project  
\$75,000

### **Lake Erie Junior Nature and Science Center**

Bay Village, Ohio  
Program planning  
\$30,000

### **New Agrarian Center**

Oberlin, Ohio  
Strategic planning  
\$7,500

### **Slavic Village Development**

Cleveland, Ohio  
Feasibility study for bicycle advocacy organization  
\$10,000

### **Slavic Village Development**

Cleveland, Ohio  
Feasibility study for bicycle advocacy organization  
\$10,000

## **PROTECTION OF HUMAN HEALTH**

### **Beyond Pesticides**

Washington, DC  
Safe lawn and garden campaign  
\$25,000

### **Beyond Pesticides**

Washington, DC  
Greening Our Community Forum  
\$5,000

### **Environmental Health Watch, Inc.**

Cleveland, Ohio  
Operating support  
\$150,000 *over 2 years*

## Ohio Environmental Council

Columbus, Ohio  
Cleveland clean air zone campaign  
\$50,000

### **SMART GROWTH**

## Greater Ohio Policy Center Inc.

Columbus, Ohio  
Operating support  
\$150,000

## Land Trust Alliance, Inc.

Washington, DC  
Services to Ohio land trusts  
\$30,000

## Mid-Ohio Regional Planning Commission

Columbus, Ohio  
Farmland preservation and land use policies in Ohio  
\$40,000

## Trust for Public Land

San Francisco, California  
Operating support  
\$70,000

### **SPECIAL / EXPLORATORY**

## The East Cleveland Township Cemetery Foundation

Cleveland, Ohio  
Urban park tree preservation  
\$5,000

## Ohio Environmental Council

Columbus, Ohio  
3C passenger rail education initiative  
\$10,000

## 1 for the Planet Inc.

Waitsfield, Vermont  
Operating support  
\$100,000

### **SUSTAINABILITY, ENERGY AND CLIMATE CHANGE**

## Baldwin-Wallace College

Berea, Ohio  
Sustainability symposium  
\$5,000

### **CAPITAL CITY TRANSIT COALITION**

Vermilion, Ohio  
Public education program for the West Shore Alternative  
Analysis project  
\$3,000

## Case Western Reserve University

Cleveland, Ohio  
Taking Root conference  
\$5,000

## City Club of Cleveland

Cleveland, Ohio  
Majora Carter event  
\$7,500

## Cleveland Botanical Garden

Cleveland, Ohio  
Green Corps urban youth program  
\$100,000 *over 2 years*

## Cleveland Botanical Garden

Cleveland, Ohio  
RIPE! Food and Garden Festival  
\$5,000

## The Cleveland Museum of Natural History

Cleveland, Ohio  
GreenCityBlueLake Institute operating support  
\$75,000

## The Cleveland Museum of Natural History

Cleveland, Ohio  
West Side Market composting initiative  
\$2,000

## Cuyahoga Valley Countryside Conservancy

Peninsula, Ohio  
Operating support and capacity building  
\$90,000

## Cuyahoga Valley Countryside Conservancy

Peninsula, Ohio  
Farmer internship project  
\$10,000

## Cuyahoga Valley Countryside Conservancy

Peninsula, Ohio  
"What's on Your Plate?" Northeast Ohio schools initiative  
\$10,000

## Downtown Cleveland Alliance

Cleveland, Ohio  
Farmers market food stamp incentive program  
\$10,000

## Enterprise Community Partners, Inc.

Columbia, Maryland  
Cleveland Clean Energy Alliance business plan  
\$10,000

## Entrepreneurs for Sustainability

Cleveland, Ohio  
Operating support  
\$60,000

## Entrepreneurs for Sustainability

Cleveland, Ohio  
Biomimicry workshops  
\$5,000

### **Environmental and Energy Study Institute**

Washington, DC  
Operating support  
\$150,000 *over 2 years*

### **Fund for Our Economic Future**

Cleveland, Ohio  
HUD sustainable communities initiative  
\$10,000

### **Great Lakes United**

Amherst, New York  
Tall Ships Challenge education program  
\$10,000

### **Green Energy Ohio**

Columbus, Ohio  
Operating support  
\$40,000

### **National Association of Regional Councils**

Washington, DC  
Cleveland conference sustainability programs  
\$5,000

### **National Clean Cities Inc.**

Columbus, Ohio  
Clean Fuels Ohio  
\$36,860

### **Neighborhood Progress, Inc.**

Cleveland, Ohio  
Conference participation  
\$6,100

### **New Agrarian Center**

Oberlin, Ohio  
Operating support  
\$60,000

### **Ohio City Bicycle Co-Op**

Cleveland, Ohio  
Operating support  
\$10,000

### **Ohio Environmental Council**

Columbus, Ohio  
Operating support  
\$85,000

### **Ohio State University Foundation**

Columbus, Ohio  
Cleveland-Cuyahoga County Food Policy Coalition  
\$100,000

### **Ohio State University Foundation**

Columbus, Ohio  
Community gardening program  
\$48,989

### **Rails to Trails Conservancy**

Washington, DC  
Operating support  
\$70,000 *over 2 years*

### **Rockefeller Family Fund, Inc.**

New York, New York  
Re-Amp project on renewable energy development  
\$55,000

### **The Sierra Club Foundation**

San Francisco, California  
Ohio chapter operating and project support  
\$80,000

### **West Creek Preservation Committee**

Parma, Ohio  
West Creek urban land trust initiative  
\$325,000 *over 3 years*

# Human Services

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The Foundation primarily sought opportunities to invest in innovative public policy efforts resulting from the evolving government landscape in Cuyahoga County, Columbus and Washington, D.C., and the inevitable impact of the ongoing economic downturn. Reflecting these realities, our grant support aided effective pursuit of new federal grant opportunities and a novel collaboration facilitating nonprofit restructuring, including mergers. Grant highlights included supporting system reforms and public investment in the health care, hunger relief, early childhood, juvenile justice, adoption, community reentry and adolescent reproductive health systems. Human services grantmaking totaled \$5,431,200.

## **CHILD AND FAMILY STABILITY**

### **Adoption Network Cleveland**

Cleveland, Ohio  
Operating support  
\$200,000 *over 2 years*

### **Case Western Reserve University**

Cleveland, Ohio  
Promise Neighborhood project  
\$9,200

### **The Center for Community Solutions**

Cleveland, Ohio  
State health and human services policy and fiscal analysis;  
ground Work early childhood campaign  
\$360,000 *over 2 years*

### **The Center for Community Solutions**

Cleveland, Ohio  
Collaborative for Comprehensive School-Age Health  
\$25,000

### **The Center for Community Solutions**

Cleveland, Ohio  
Community education about health and human services needs  
\$10,000

### **The Center for Community Solutions**

Cleveland, Ohio  
Consulting expenses for federal Promise Neighborhood  
grant application  
\$10,000

### **The Center for Community Solutions**

Cleveland, Ohio  
Fiscal management of the Collaborative for Comprehensive  
School-Age Health  
\$6,000

### **Center for Families and Children**

Cleveland, Ohio  
Greater Cleveland Integrated Reentry Project  
\$200,000 *over 18 months*

### **Center for Families and Children**

Cleveland, Ohio  
Pilot preschool project  
\$50,000

### **Center for Families and Children**

Cleveland, Ohio  
Mental Health Advocacy Coalition  
\$25,000

### **Center for Law and Social Policy**

Washington, DC  
Public policy analysis and advocacy on early childhood, child  
welfare and antipoverty issues  
\$160,000 *over 2 years*

### **Cleveland Baseball Federation**

Cleveland, Ohio  
Summer program support  
\$5,000

### **Community Care Network, Inc.**

Cleveland, Ohio  
Cudell Summer Girls and Boys Basketball Leagues  
\$9,000

### **Council for a Strong America Fight Crime:**

#### **Invest in Kids**

Powell, Ohio  
Fight Crime: Invest in Kids Ohio office  
\$35,000

### **County of Cuyahoga**

Cleveland, Ohio  
Consulting expenses for federal Teen Pregnancy Prevention  
grant application  
\$6,000

### **Kent State University Foundation, Inc.**

Kent, Ohio  
Ohio juvenile justice reform research  
\$75,000

### NAMI Greater Cleveland

Cleveland, Ohio  
Strategic plan for children's behavioral health services  
\$7,500

### National Women's Law Center

Washington, DC  
Advocacy for policies to improve family economic security  
\$50,000

### Northern Kentucky Children's Law Center, Inc.

Covington, Kentucky  
Ohio juvenile justice reform initiative  
\$100,000

### Public Children Services Association of Ohio, Inc.

Columbus, Ohio  
Operating support  
\$80,000 *over 2 years*

### Shaker Family Center at Sussex

Shaker Heights, Ohio  
Merger implementation  
\$10,000

### Towards Employment, Incorporated

Cleveland, Ohio  
Advocacy capacity building  
\$65,000 *over 18 months*

### University Hospitals Health System, Inc.

Cleveland, Ohio  
Center for Child Health and Policy  
\$85,000 *over 18 months*

### Voices for Ohio's Children

Cleveland, Ohio  
Operating support and director of operations position  
*Up to \$125,000*

### Zero To Three - National Center for Infants, Toddlers, and Families

Washington, DC  
Zero to Three Policy Center  
\$35,000

#### **MEETING BASIC NEEDS**

### AIDS Resource Center Ohio Inc.

Dayton, Ohio  
Ohio HIV public policy and advocacy project  
\$20,000

### AIDS Taskforce of Greater Cleveland, Inc.

Cleveland, Ohio  
Ohio public policy initiative  
\$40,000

### Case Western Reserve University

Cleveland, Ohio  
Northeast Ohio Community and Neighborhood Data Project  
\$140,000 *over 2 years*

### The Center for Community Solutions

Cleveland, Ohio  
State health and human services policy and fiscal analysis and groundWork early childhood campaign  
\$360,000 *over 2 years*

### The Center for Community Solutions

Cleveland, Ohio  
Collaborative for Comprehensive School-Age Health  
\$25,000

### The Center for Community Solutions

Cleveland, Ohio  
Community education about health and human services needs  
\$10,000

### The Center for Community Solutions

Cleveland, Ohio  
Consulting expenses for federal Promise Neighborhood grant application  
\$10,000

### The Center for Community Solutions

Cleveland, Ohio  
Fiscal management of the Collaborative for Comprehensive School-Age Health  
\$6,000

### Center on Budget and Policy Priorities

Washington, DC  
Operating support  
\$300,000 *over 2 years*

### Center on Budget and Policy Priorities

Washington, DC  
Operating support  
\$75,000

### City of Cleveland

Cleveland, Ohio  
Study on health care clinic expansion  
\$7,500

### Coalition on Human Needs

Washington, DC  
Federal advocacy for low-income children and families  
\$80,000 *over 2 years*

### Community Catalyst, Inc.

Boston, Massachusetts  
Planning for health care reform implementation in Ohio  
\$30,000

### Cuyahoga Metropolitan Housing Authority

Cleveland, Ohio  
HUD Choice Neighborhood Initiative  
\$10,000

### Emerald Development & Economic Network, Inc. (EDEN)

Cleveland, Ohio  
Women's emergency shelter renovation  
\$300,000 *over 3 years*



Families USA Foundation, Inc.  
Washington, DC  
State and national health care reform policy analysis  
and advocacy  
\$50,000

The Free Medical Clinic of Greater Cleveland  
Cleveland, Ohio  
Syringe exchange program  
\$70,000 *over 2 years*

Health Policy Institute of Ohio  
Columbus, Ohio  
Health reform analysis project  
\$42,500

InterReligious Partners in Action of Greater  
Cleveland  
Cleveland, Ohio  
Homeless Stand Down program  
\$7,500

Legal Aid Society of Cincinnati  
Cincinnati, Ohio  
Health care reform implementation in Ohio  
\$75,000 *over 2 years*

Legal Aid Society of Cincinnati  
Cincinnati, Ohio  
Healthcare reform analysis  
\$5,000

The Legal Aid Society of Cleveland  
Cleveland, Ohio  
Community Advocacy Program  
*Up to \$140,000 over 2 years*

Lutheran Metropolitan Ministry  
Cleveland, Ohio  
Capital support  
\$1,000,000

Lutheran Metropolitan Ministry  
Cleveland, Ohio  
Advocacy staffing  
\$30,000 *over 3 years*

Lutheran Metropolitan Ministry  
Cleveland, Ohio  
Communities in Conversation forum  
\$5,000

MDRC  
New York, New York  
Operating support  
\$50,000

Merrick House  
Cleveland, Ohio  
Advocates for Budget Legislation Equality  
\$50,000

Neighborhood Health Care, Inc.  
Cleveland, Ohio  
Centering Pregnancy health care program  
\$50,000

Ohio Association of Second Harvest Foodbanks  
Columbus, Ohio  
Ohio hunger relief policy advocacy, Ohio Benefit Bank and  
feasibility study  
\$160,000 *over 2 years*

Shoes and Clothes for Kids Inc.  
Cleveland, Ohio  
Operating support  
\$10,000

Universal Health Care Action Network of Ohio  
Columbus, Ohio  
Building Ohio consumer voice for health care and coverage  
\$55,000

West Side Catholic Center  
Cleveland, Ohio  
Support for basic needs programs  
\$70,000 *over 2 years*

Young Men's Christian Association of Cleveland  
Ohio, Inc.  
Cleveland, Ohio  
Y-Haven  
\$60,000 *over 2 years*

#### **REPRODUCTIVE HEALTH**

Advocates for Youth  
Washington, DC  
Education and advocacy for comprehensive sexuality education  
\$50,000

The Center for Community Solutions  
Cleveland, Ohio  
AIDS Funding Collaborative  
\$70,000

The Center for Reproductive Rights, Inc.  
New York, New York  
Campaign for fair health care reform  
\$5,000

NARAL Pro-Choice Ohio Foundation  
Cleveland, Ohio  
Operating support  
\$100,000 *over 2 years*

Planned Parenthood of Northeast Ohio  
Cleveland, Ohio  
Regional medical center  
\$280,000 *over 3 years*

## Rutgers University Foundation

Piscataway, New Jersey

ANSWER: Teen-to-Teen Sexuality Education Project

\$70,000 *over 2 years*

## ScenariosUSA Inc.

Brooklyn, New York

Cleveland film contest and operating support

\$100,000 *over 2 years*

## Third Wave Direct Action, Inc.

New York, New York

Reproductive Health and Justice Initiative

\$10,000

## Trustees of Hampshire College

Amherst, Massachusetts

Civil Liberties and Public Policy Program

\$60,000 *over 2 years*

## Women Have Options Inc.

Granville, Ohio

Operating support

\$6,000

### **SPECIAL PROGRAMS**

## Media In The Public Interest Inc.

Boulder, Colorado

Ohio News Connection

\$5,000

# Special Projects

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The Foundation maintained its commitment to fund research on the causes, nature and prevention of inherited retinal degenerative diseases. We also continued support for a wide range of organizations working to strengthen the nonprofit and philanthropic fields. Special projects grantmaking totaled \$2,577,400.

## **PHILANTHROPIC SERVICES**

### **Alliance for Justice, Inc.**

Washington, DC  
Foundation advocacy initiative  
\$150,000 *over 2 years*

### **Business Volunteers Unlimited**

Cleveland, Ohio  
Technical assistance for nonprofits and database project  
\$50,000

### **The Center for Effective Philanthropy**

Cambridge, Massachusetts  
Grantee perception report  
\$23,400

### **CEOs for Cities**

Chicago, Illinois  
Operating support  
\$5,000

### **Council on Foundations, Inc.**

Arlington, Virginia  
Operating support  
\$39,500

### **Diocese of Ohio Episcopal Community Services Foundation**

Cleveland, Ohio  
Episcopal Community Services  
\$20,000

### **Diversity Center of Northeast Ohio**

Cleveland, Ohio  
Strategic marketing campaign  
\$10,000

### **The Foundation Center**

Cleveland, Ohio  
Cleveland office operating support  
\$30,000

### **The Foundation Center**

Cleveland, Ohio  
Economic development grantmaking in Ohio report  
\$2,000

### **Funders Network for Smart Growth and Livable Communities**

Coral Gables, Florida  
Operating support  
\$25,000

### **Grantmakers for Children, Youth & Families, Inc.**

Silver Spring, Maryland  
Operating support  
\$5,000

### **Grantmakers for Children, Youth & Families, Inc.**

Silver Spring, Maryland  
Annual conference  
\$2,500

### **Grantmakers in Health**

Washington, DC  
Operating support  
\$5,000

### **Grantmakers in the Arts**

Seattle, Washington  
Operating support  
\$3,000

### **Grants Managers Network, Inc.**

Washington, DC  
Operating support  
\$2,000

### **Independent Sector**

Washington, DC  
Operating support  
\$12,500

### **Minnesota Council of Nonprofits, Inc.**

St. Paul, Minnesota  
OhioVOTES Nonprofit Voter Engagement Network  
\$60,000

### **Neighborhood Funders Group, Inc.**

Washington, DC  
Operating support  
\$2,000

## Ohio Grantmakers Forum

Columbus, Ohio  
Operating support  
\$100,000 *over 2 years*

## Ohio Grantmakers Forum

Columbus, Ohio  
Operating support  
\$10,500

## Ohio Grantmakers Forum

Columbus, Ohio  
Annual conference  
\$10,000

## Philanthropy Northwest

Seattle, Washington  
PRI Makers Network  
\$5,000

### **RETINAL DEGENERATIVE DISEASE RESEARCH**

## The Foundation Fighting Blindness

Columbia, Maryland  
Retinal degenerative disease research  
\$2,000,000

## The Foundation Fighting Blindness

Columbia, Maryland  
Cleveland VisionWalk  
\$5,000

# George Gund

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George Gund was born in La Crosse, Wisconsin, and his family settled in Cleveland in 1897. Following graduation from Harvard College (1909) and graduate study at Harvard Business School, his early career included banking and real estate in Seattle, serving in Army intelligence during World War I, developing the Kaffee-Hag Corporation in Cleveland, attending Animal Husbandry School at Iowa State University and ranching in Nevada.

In 1936, Mr. Gund married Jessica Roesler and settled down in Cleveland to raise a family. His interest in banking culminated in his becoming President of The Cleveland Trust Company in 1941. At his death in 1966, he was Chairman of the Board.

In 1937, Mr. Gund began a formal program of charitable giving, and his philanthropic concern extended throughout his life. He carefully selected those charities to which he committed his funds. If the commitment was considerable over a long period of time, he characteristically devoted much of his time and energy to the institution.

Strong relationships developed with the educational institutions that shaped Mr. Gund's early life. He contributed time and money to University School (Cleveland), where he was a trustee, and to Iowa State University, where he established a scholarship program for students of animal husbandry. He served on the Board of Overseers of Harvard College from 1954 to 1960 and was closely involved at Harvard with the School of Public Health and the Business School from 1954 to 1966. Another interest in higher education was Kenyon College, where he served as a trustee for many years.

Although Mr. Gund had little personal experience in the arts, his devotion to them was great. Perhaps the single best example was his long association with the Cleveland Institute of Art. In 1942, he became President of the Institute and, under his leadership, saw it develop into a lively and prestigious institution.

The George Gund Foundation was created in 1952 because Mr. Gund believed the private foundation concept provided the most positive, farsighted vehicle for intelligent underwriting of creative solutions to social ills in a manner which would not be limited to his own lifetime. He favored the corporate foundation structure directed by an experienced, sensitive board of trustees entrusted not only with disbursement of funds, but an objective, practical review of proposals. He valued the degree of freedom a private foundation can exercise in seeking and fostering innovative ideas and in implementing demonstration projects.

Today, Mr. Gund's vision is carried forth through the continued involvement of the Gund family in the Foundation's work. Since its inception, the Foundation has made grants totaling more than \$564 million toward the advancement of human welfare.

**Geoffrey Gund**  
President

# Financial Statements

## STATEMENTS OF FINANCIAL POSITION

December 31	2010	2009
<b>Assets</b>		
Cash and cash equivalents	\$ 12,928,903	\$ 23,321,582
Investments	433,650,005	400,385,113
Interest and dividends receivable	475,190	431,752
Pending security sales	—	149,250
Federal excise tax	109,822	79,432
Other assets	342,846	288,453
<b>Total assets</b>	<b>\$ 447,506,766</b>	<b>\$ 424,655,582</b>
<b>Liabilities</b>		
Accounts payable and accrued expenses	466,875	382,993
Grants payable	9,723,166	6,120,000
Deferred federal excise tax	3,261,262	2,715,166
<b>Total liabilities</b>	<b>\$ 13,451,303</b>	<b>\$ 9,218,159</b>
<b>Net Assets</b>		
Unrestricted	434,055,463	415,437,423
<b>Total liabilities and net assets</b>	<b>\$ 447,506,766</b>	<b>\$ 424,655,582</b>

The accompanying notes are an integral part of the financial statements.

## STATEMENTS OF ACTIVITIES

For the years ended December 31	2010	2009
<b>Revenues, Gains and Losses</b>		
Net realized investment gains	\$ 14,217,640	\$ 2,515,948
Net unrealized investment gains	26,843,106	103,281,428
Dividend income	4,307,274	3,939,521
Interest income	1,568,213	1,643,688
Other income	119,938	38,600
<b>Net revenue, gains and losses</b>	<b>\$ 47,056,171</b>	<b>\$ 111,419,185</b>
<b>Expenses</b>		
Grants authorized	23,513,160	15,298,617
Administrative expenses	4,183,260	3,668,295
<b>Total expenses</b>	<b>\$ 27,696,420</b>	<b>\$ 18,966,912</b>
Increase in net assets before federal excise tax provision	19,359,751	92,452,273
Federal excise tax provision	741,711	2,240,827
<b>Net increase in net assets</b>	<b>\$ 18,618,040</b>	<b>\$ 90,211,446</b>
Net assets – beginning	415,437,423	325,225,977
<b>Net assets – ending</b>	<b>\$ 434,055,463</b>	<b>\$ 415,437,423</b>

The accompanying notes are an integral part of the financial statements.

## STATEMENTS OF CASH FLOWS

For the years ended December 31	2010	2009
<b>Cash Flows From Operating Activities</b>		
Increase in net assets	\$ 18,618,040	\$ 90,211,446
Adjustments to reconcile increase in net assets to net cash used in operating activities:		
Depreciation and amortization	52,254	50,080
Net realized gains on investments	(14,217,640)	(2,515,948)
Net unrealized gains on investments and cash equivalents	(26,858,599)	(103,281,428)
Deferred federal excise tax	546,096	2,369,783
Changes in assets and liabilities:		
Receivables	75,422	724,350
Other assets	(61,399)	(2,477)
Accounts payable and accrued expenses	83,882	43,020
Grants payable	3,603,166	(2,922,000)
Net cash used in operating activities	<u>\$ (18,158,778)</u>	<u>\$ (15,323,174)</u>
<b>Cash Flows from Investing Activities</b>		
Proceeds from sale of investments	61,286,982	87,796,727
Purchase of investments	(53,475,635)	(53,765,485)
Purchase of equipment and improvements	(45,248)	(51,450)
Net cash provided by investing activities	<u>\$ 7,766,099</u>	<u>\$ 33,979,792</u>
Net increase (decrease) in cash and cash equivalents	(10,392,679)	18,656,618
Cash and cash equivalents – beginning	23,321,582	4,664,964
<b>Cash and cash equivalents – ending</b>	<b><u>\$ 12,928,903</u></b>	<b><u>\$ 23,321,582</u></b>
<b>Supplemental Disclosure of Cash Flow Information</b>		
Cash paid (refunded) during the year:		
Income taxes, excise	\$ 210,000	\$ (325,134)
Interest	\$ —	\$ —

The accompanying notes are an integral part of the financial statements.

## NOTES TO FINANCIAL STATEMENTS – DECEMBER 31, 2010 AND 2009

### NOTE 1 – Summary of Significant Accounting Policies

**NATURE OF OPERATIONS** The George Gund Foundation (“the Foundation”) is a private foundation which makes grants to educational, community service and philanthropic organizations, basically in Greater Cleveland.

**BASIS OF ACCOUNTING** The Foundation’s financial statements are presented on the accrual basis of accounting. Accordingly, revenues are recorded when earned, and expenses are recognized when incurred. The Foundation has only unrestricted net assets.

**USE OF ESTIMATES** The preparation of financial statements in conformity with generally accepted accounting principles requires management to make estimates and assumptions that affect the reported amounts of assets and liabilities and disclosure of contingent assets and liabilities at the date of the financial statements and the reported amounts of revenues and expenses during the reporting period. Actual results could differ from those estimates.

**CASH AND CASH EQUIVALENTS** Cash and cash equivalents consist of highly-liquid investments with maturity dates of three months or less which are readily convertible into cash and a common trust fund that is readily convertible into cash.

**INVESTMENTS** Marketable and U.S. Government securities are reported at their market value. Securities traded on a national securities exchange are valued at the last reported trading price on the last business day of the year. Realized gains or losses are determined by comparison of asset cost to net proceeds received. Unrealized gains or losses are determined by comparison of asset cost to market values at the end of the year.

Investments include notes receivable which are due from various not-for-profit organizations valued at \$11,637,949 and \$7,675,174 at December 31, 2010 and 2009, respectively. The notes receivable are due at various dates from 2011 through 2038. The loans carry interest rates between 1% and 3%; principal and interest payment arrangements vary by note.

The Foundation invests in certain alternative investments which include investments in limited partnerships. Market values represent the Foundation’s pro rata interest in the net assets of each limited partnership as of December 31, 2010 and 2009, as provided by the fund managers. Market values as of December 31, 2010 and 2009 are not based on audited financial information supplied by the general partner or manager of the funds. Audited information is only available annually based on the partnerships’ or funds’ year end. Management reviews monthly valuations provided by the general partner or manager of the funds and assesses the reasonableness of the fair values provided at the interim dates and included in the financial statements. As of December 31, 2010 and 2009, the Foundation had total unfunded capital commitments to alternative investments of \$6,295,296 and \$7,863,257, respectively. Because of the inherent uncertainty of the valuation of alternative investments, the market values reflected in the accompanying financial statements may differ significantly from realizable values.

**FURNITURE, EQUIPMENT AND LEASEHOLD IMPROVEMENTS** Furniture, equipment and leasehold improvements are stated at cost. Amortization and depreciation is recorded using both straight-line and accelerated methods over the estimated useful lives of the assets. Depreciation and amortization expense amounted to \$52,254 and \$50,080 for the years ended December 31, 2010 and 2009, respectively.

**FAIR VALUE MEASUREMENT-DEFINITION AND HIERARCHY** The Foundation follows FASB ASC 820-10, “Fair Value Measurements.” Under this standard, fair value is defined as the price that would be received to sell an asset or paid to transfer a liability (i.e., the “exit price”) in an orderly transaction between market participants at the measurement date.

In determining fair value, the Foundation uses various valuation approaches, including market, income, and / or cost approaches. FASB ASC 820-10 establishes a hierarchy for inputs used in measuring fair value that maximizes the use of observable inputs and minimizes the use of unobservable inputs by requiring that the most observable inputs be used when available. Observable inputs are inputs that market participants would use in pricing the asset or liability developed based on market data obtained from sources independent of the Foundation. Unobservable inputs reflect the Foundation’s assumptions used in pricing the asset or liability based on the best information available in the circumstances. The hierarchy is broken down into three levels based on the reliability of inputs as follows:



## NOTES TO FINANCIAL STATEMENTS (CONTINUED)

### NOTE 1 – Summary of Significant Accounting Policies (continued)

**LEVEL 1** Valuations based on quoted prices (unadjusted) in active markets for identical assets or liabilities that the Foundation has the ability to access. Since valuations are based on quoted prices that are readily and regularly available in an active market, valuation of these products does not entail a significant degree of judgment.

Assets and liabilities utilizing Level 1 inputs include exchange-traded equity securities that are actively traded.

**LEVEL 2** Valuations based on quoted prices in markets that are not active or for which all significant inputs are observable, either directly or indirectly.

Assets and liabilities utilizing Level 2 inputs include: corporate bonds, municipal bonds, private equity investments in mutual funds and certificates of deposit with maturity dates of greater than three months.

**LEVEL 3** Valuations based on inputs that are unobservable and significant to the overall fair value measurement. Unobservable input may be developed by outside third parties using marketing models based on information available to them. Unobservable inputs shall reflect the reporting entity's own assumptions about the assumptions that market participants would use in pricing. Unobservable input shall be developed based on the best information available in circumstances, which might include the reporting entity's own data.

Assets and liabilities utilizing Level 3 inputs include: equity securities that are not actively traded, private equity investments, and program related investments.

### NOTE 2 – Investments

Cost and market value of investments were as follows:

	2010		2009	
	Market Value	Cost	Market Value	Cost
Fixed income securities	\$ 14,710,685	\$ 14,707,551	\$ 14,758,833	\$ 14,714,640
Common stocks and alternative investments	418,939,320	256,006,743	385,626,280	249,843,647
<b>Total</b>	<b>\$ 433,650,005</b>	<b>\$ 270,714,294</b>	<b>\$ 400,385,113</b>	<b>\$ 264,558,287</b>

Market values of investments are based on December 31, 2009 and 2008 published quotations, except that estimates are used when quotations are not available. Fixed income securities consist of U.S. government securities, U.S. government guaranteed securities and corporate securities. Common stocks and alternative investments consist principally of U.S. and international equity securities, investments in equity mutual funds, program-related investments, investments in limited partnerships and certificates of deposits with maturity dates of three months or more.

Published market quotations do not necessarily represent realizable values, particularly where sizable holdings of a company's stock exist, as in the case of the Foundation's holding of the Kellogg Company common stock.

Published market quotations do not necessarily represent realizable values, particularly where sizable holdings of a company's stock exist, as in the case of the Foundation's holding of the Kellogg Company common stock.

**NOTES TO FINANCIAL STATEMENTS (CONTINUED)****NOTE 3 – Fair Value Disclosure and Measurement**

The Foundation's assets and liabilities recorded at fair value have been categorized based upon a fair value hierarchy in accordance with FASB ASC 820-10. See Note 1 for a discussion of the Foundation's policies regarding this hierarchy.

The following fair value hierarchy tables present information about the Foundation's assets and liabilities measured at fair value on a recurring basis:

	Fair Value Measurements at Reporting Date Using			Balance
	Quoted Prices in Active Markets for Identical Assets (Level 1)	Significant Other Observable Inputs (Level 2)	Significant Unobservable Inputs (Level 3)	
<b>December 31, 2010</b>				
Corporate Stock	\$ 191,329,985	\$ —	\$ 100	\$ 191,330,085
Corporate Bonds	—	8,126,928	—	8,126,928
Government Obligations	—	6,583,757	—	6,583,757
Limited Partnerships	—	—	59,699,645	59,699,645
Limited Partnerships – Mutual Funds	—	155,771,641	—	155,771,641
Other investments	—	500,000	11,637,949	12,137,949
<b>Total Investments</b>	<b>\$ 191,329,985</b>	<b>\$ 170,982,326</b>	<b>\$ 71,337,694</b>	<b>\$ 433,650,005</b>
Common Trust Fund (included in cash and cash equivalents)	—	9,723,055	—	9,723,055
<b>Total Fair Value Assets</b>	<b>\$ 191,329,985</b>	<b>\$ 180,705,381</b>	<b>\$ 71,337,694</b>	<b>\$ 443,373,060</b>

	Fair Value Measurements at Reporting Date Using			Balance
	Quoted Prices in Active Markets for Identical Assets (Level 1)	Significant Other Observable Inputs (Level 2)	Significant Unobservable Inputs (Level 3)	
<b>December 31, 2009</b>				
Corporate Stock	\$ 182,384,915	\$ —	\$ 100	\$ 182,385,015
Corporate Bonds	—	9,026,440	—	9,026,440
Government Obligations	—	5,732,393	—	5,732,393
Limited Partnerships	—	—	53,091,472	53,091,472
Limited Partnerships – Mutual Funds	—	137,128,049	—	137,128,049
Other Investments	—	5,346,570	7,675,174	13,021,744
<b>Total Fair Value Assets</b>	<b>\$ 182,384,915</b>	<b>\$ 157,233,452</b>	<b>\$ 60,766,746</b>	<b>\$ 400,385,113</b>

## NOTES TO FINANCIAL STATEMENTS (CONTINUED)

The following table provides a reconciliation of changes in Level 3, unobservable assets and liabilities measured at fair value on a recurring basis for the year ended December 31, 2010:

	Fair Value Measurements Using Significant Unobservable Inputs (Level 3)			
	Limited Partnerships	Other Investments	Common Stock	Total
Beginning balance – January 1, 2010	\$ 53,091,472	\$ 7,675,174	\$ 100	\$ 60,766,746
Total gains or losses (realized/unrealized) Included in changes in net assets	7,768,308	12,752	–	7,781,060
Purchases	1,559,515	4,000,000	–	5,559,515
Sale proceeds	(2,719,650)	(49,977)	–	(2,769,627)
<b>Ending balance – December 31, 2010</b>	<b>\$ 59,699,645</b>	<b>\$ 11,637,949</b>	<b>\$ 100</b>	<b>\$ 71,337,694</b>
The amount of total gains or losses for the period included in changes in net assets attributable to the change in unrealized gains or losses relating to assets still held at the reporting date	\$ 6,445,353	\$ 12,752	\$ –	\$ 6,458,105

The following table provides a reconciliation of changes in Level 3, unobservable assets and liabilities measured at fair value on a recurring basis for the year ended December 31, 2009:

	Fair Value Measurements Using Significant Unobservable Inputs (Level 3)			
	Limited Partnerships	Other Investments	Common Stock	Total
Beginning balance – January 1, 2009	\$ 38,028,255	\$ 7,971,622	\$ 100	\$ 45,999,977
Total gains or losses (realized/unrealized) Included in changes in net assets	14,115,422	(34,946)	–	14,080,476
Purchases	1,209,134	–	–	1,209,134
Sale proceeds	(261,339)	(261,502)	–	(522,841)
<b>Ending balance – December 31, 2009</b>	<b>\$ 53,091,472</b>	<b>\$ 7,675,174</b>	<b>\$ 100</b>	<b>\$ 60,766,746</b>
The amount of total gains or losses for the period included in changes in net assets attributable to the change in unrealized gains or losses relating to assets still held at the reporting date	\$ 14,030,751	\$ (34,946)	\$ –	\$ 13,995,805

Gains and losses (realized and unrealized) from Level 3 investments included in changes in net assets include net investment gains of \$1,322,955 and \$84,671 and net unrealized investment gains of \$6,458,105 and \$13,995,805 for the period ended December 31, 2010 and 2009, respectively.

### NOTE 4 – Credit Concentration

Aside from its holdings in the Kellogg Company, the Foundation's portfolio of investments is highly diversified; however, at December 31, 2010 and 2009, 26% and 32% of the total market value of securities and 85% and 88% respectively, of dividend income in each year are attributable to ownership of Kellogg Company stock.

**NOTES TO FINANCIAL STATEMENTS (CONTINUED)****NOTE 5 – Leases**

The Foundation occupies office space in the Landmark Office Towers under a lease that terminates on December 31, 2018. Base annual rentals are \$120,080 for the remaining term of the lease, with escalation charges from these base rentals. There are renewal options for additional periods. Rental expense for the years ended December 31, 2010 and 2009 amounted to \$129,146 and \$129,570, respectively.

The future minimum lease commitments for the next five years under leases with terms in excess of one year are as follows:

2011	121,956
2012	123,833
2013	123,833
2014	127,585
2015	127,585
Thereafter	392,137
	<u>\$ 1,016,929</u>

**NOTE 6 – Net Assets**

Net assets include two board-designated funds (principal and income) both of which consist entirely of unrestricted net assets. The principal fund consists of investments in securities and receives the realized and unrealized gains or losses on those assets. The income fund receives interest and dividends on the principal fund investments which are used for grants and administrative expenses. The statements of financial position included the following income fund accounts:

	<b>2010</b>	<b>2009</b>
Cash	\$ 1,737,983	\$ 8,868,094
Receivables	585,012	511,184
Other assets	43,960	42,426
Due to principal fund	(129,029)	(87,517)
Accounts payable	(466,875)	(382,993)
Grants payable	(9,723,166)	(6,120,000)
Deferred federal excise tax	2,142	1,439
	<b>\$ (7,949,973)</b>	<b>\$ 2,832,633</b>

The following is a summary of the changes in total net assets:

	<b>2010</b>	<b>2009</b>
Income fund	\$ (10,782,606)	\$ 9,708,195
Principal fund	29,400,646	80,503,251
Increase in net assets	18,618,040	90,211,446
Net assets – beginning	415,437,423	325,225,977
<b>Net assets – ending</b>	<b>434,055,463</b>	<b>415,437,423</b>

The change in individual funds includes transfers by the Foundation of \$13,158,999 in 2010 and \$23,256,574 in 2009 from the principal fund to the income fund.

## NOTES TO FINANCIAL STATEMENTS (CONTINUED)

### NOTE 7 – Employee Benefit Plan

The Foundation has an employee's tax-sheltered annuity plan for all eligible employees. Such a plan is intended to comply with the requirements of Section 403(b) of the Internal Revenue Code (IRC). Employer contributions are required at 9% of the participants' compensation up to the social security wage base for the year and 14.7% of the participant's compensation in excess of this wage base, with a limit of \$245,000 of compensation for the years ended December 31, 2010 and 2009. Employer contributions to the plan for the years ended December 31, 2010 and 2009 amounted to \$118,719 and \$114,930, respectively. Participants are also permitted to make salary reduction contributions to the plan.

### NOTE 8 – Excise Taxes

The Foundation is exempt from federal income taxes under Section 501(c)(3) of the IRC, but is subject to a 2% (1% if certain criteria are met) federal excise tax on net investment income, including net realized gains, as defined by the IRC.

Deferred federal excise taxes are provided on the unrealized appreciation or depreciation of investments and interest and dividend income and certain expenses being reported for financial statement purposes in different periods than for tax purposes.

Current excise taxes were provided at 1% for 2010 and 2009, and deferred excise taxes were provided at 2% for 2010 and 2009. The current and deferred portions of the excise tax provisions were \$198,760 and \$542,951, respectively, netting to \$741,711 in 2010. The current and deferred portions of the excise tax provisions were \$(405,474) and \$2,646,301, respectively, netting to \$2,240,827 in 2009.

The Organization adopted the provisions of FASB ASC 740-10, "Accounting for Uncertainty in Income Taxes," which provides guidance on the recognition threshold that a tax position is required to meet before being recognized in the financial statements and provides guidance on derecognition, measurement, classification, interest and penalties, accounting in interim periods, disclosure and transition issues. Management has evaluated and concluded that there were no material uncertain tax positions requiring recognition in the accompanying financial statements.

Accrued interest relating to uncertain tax positions would be recorded as a component of interest expense and penalties relating to uncertain tax positions would be recorded as a component of general and administrative expenses.

The federal income tax returns of the organization for 2008, 2009, and 2010 are subject to examination by the IRS, generally for three years after they were filed.

### NOTE 9 – Subsequent Events

The Organization has evaluated subsequent events from the statement of financial position date through March 31, 2011.

## INDEPENDENT AUDITORS' REPORT

### To the Board of Trustees, The George Gund Foundation

We have audited the accompanying statements of financial position of The George Gund Foundation as of December 31, 2010 and 2009, and the related statements of activities and cash flows for the years then ended. These financial statements are the responsibility of the Foundation's management. Our responsibility is to express an opinion on these financial statements based on our audits.

We conducted our audits in accordance with auditing standards generally accepted in the United States of America. Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the financial statements are free of material misstatement. An audit includes examining, on a test basis, evidence supporting the amounts and disclosures in the financial statements. An audit also includes assessing the accounting principles used and significant estimates made by management, as well as evaluating the overall financial statement presentation. We believe that our audits provide a reasonable basis for our opinion.

In our opinion, the financial statements referred to above present fairly, in all material respects, the financial position of The George Gund Foundation at December 31, 2010 and 2009, and the results of its activities and its cash flows for the years then ended, in conformity with accounting principles generally accepted in the United States of America.

*Walthall, Drake & Wallace LLP*

**Certified Public Accountants**

Cleveland, Ohio  
March 30, 2010

# Grant Program Guidelines

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The history of The George Gund Foundation reflects a deep commitment to place, to the Greater Cleveland community that was the home of its founder and that remains the Foundation's home. The Foundation's philanthropic stewardship of this region derives not just from our history, but also from our belief that Cleveland can continue to develop original responses to urban issues and from our hope that collaborations across boundaries can create a crucible of innovation in all fields of endeavor. Moreover, the urban emphasis of our work stems from a belief that thriving cities are among the nation's best hopes for addressing our essential problems. This focus is especially vital in an era of diminished government involvement in urban issues, intensifying globalization and heightened awareness of the central role of regions.

The Foundation's guidelines reflect our long-standing interests in the arts, economic development and community revitalization, education, environment and human services because these areas embrace most of the major issues that any community must address. While we continue to organize much of our work within these program areas, there is increasing awareness that many issues and, therefore, many grant proposals do not fit neatly into one program category. Indeed, the work of a growing number of nonprofit organizations brings together aspects of several of our core interests, and, as a result, we are becoming ever more interdisciplinary in our approach.

This is particularly evident with initiatives that aim to make Cleveland, and urban areas generally, more globally competitive, livable, sustainable and just. It is in this domain that the greatest need and maximum opportunity converge with the Foundation's primary interests, expertise and ongoing stewardship. We especially seek to support innovative ideas being pursued by creative and entrepreneurial organizations.

Our primarily urban focus motivates us to devote attention and resources to the illumination of policies that shape the issues we care about. National, state and local policymaking affects all of the Foundation's work and the work of the organizations we fund. Consequently, we feel a special obligation to support the nonpartisan voice of nonprofit advocacy in policy deliberations that directly relate to our program interests.

Global climate change is an urgent issue that cuts across all of the Foundation's programs. Every organization and individual can help to address this problem. The Foundation takes seriously our own responsibility, and we want to hear from grant applicants what they are doing or considering to reduce or to eliminate their organizational impact on climate change. Our website includes links to helpful resources, and Foundation staff will assist grant seekers — both new and those of long standing — in all of our program areas with questions they may have.

## **ARTS**

The Foundation values and supports the role the arts play in making Cleveland and its region a more desirable place to live, encouraging the growth of a creative workforce, catalyzing development in our neighborhoods and serving as a bridge between various segments of the community. The Foundation encourages a lively, diverse arts community in Greater Cleveland by funding projects in the city and its first-ring suburbs that contribute to Cleveland's urban vitality, attract new audiences, expand artistic offerings and increase organizational capacity. We also try to balance continuing support that ensures the stability of Cleveland's arts institutions with funding for new initiatives, programs and organizations that foster creativity in our community. Arts education continues to be a priority, with a focus on curriculum-related partnerships between arts organizations and the Cleveland Municipal School District. In addition, the Foundation seeks to advance the region's understanding of the importance of the arts by supporting the Community Partnership for Arts and Culture.

## **ECONOMIC DEVELOPMENT AND COMMUNITY REVITALIZATION**

Sustaining uniquely urban assets such as vibrant neighborhoods and a thriving downtown is a key part of a successful regional strategy to promote economic growth. The Foundation devotes considerable attention to these dynamics, in particular by supporting collaborative efforts that leverage resources. As a result, the highest priority is given to initiatives that bolster the impact of

## Grant Guidelines (continued)

Foundation-supported intermediary organizations working to improve the competitiveness of Cleveland's neighborhoods and its metropolitan region. Examples of such initiatives include quality urban planning and design, improvements to urban parks and public spaces, promotion of equal opportunity and diversity in housing and the workplace and proposals to redevelop Cleveland's downtown, neighborhoods and first-ring suburbs.

### **EDUCATION**

Education is fundamental to success, and if Cleveland is to produce, attract and retain talent and be the thriving center of a robust regional economy, expectations about education must rise exponentially. Consequently, our Foundation's focus is on the transformation of public education in Cleveland in order to equip children from early childhood onward with the skills they ultimately will need to meet the demands of college, the 21<sup>st</sup>-century workplace and international standards. Our primary area of interest is the creation and support of new, innovative, excellent schools in Cleveland that drive autonomy and accountability to the school level and create different teaching and learning conditions to ensure student success. We support statewide policy and advocacy efforts in furtherance of this work, particularly as it relates to the importance of high-quality teachers and principals in every classroom and school. We also maintain a desire to support disadvantaged students through key transitions, especially to higher education.

### **ENVIRONMENT**

Human well-being is inextricably linked to the quality of the environment. Urban areas bring this fact into sharp focus as historic disregard for the environment and modern development pressures create great challenges. Cleveland's relationship to these issues — and, therefore, its opportunity — are unique because the 1969 fire on the Cuyahoga River was a key factor in launching the modern environmental movement. The Foundation supports organizations that seek to build on that legacy in order to transform the community into a model of urban sustainability. The Foundation focuses on opportunities to take advantage of Cleveland's distinctive ecosystem to advance environmental improvements, promote alternatives to urban sprawl, devise innovative ways for cities to take a leading role in the fight against climate change and increase public awareness of environmental issues.

### **HUMAN SERVICES**

Heightened focus on developing a more globally competitive city and region demands recognition that people are at the heart of this effort and that all segments of society can make constructive contributions. To maximize those contributions, direct attention must be paid to the needs of those most at risk of being left out of social and economic transformation. Building human capital begins at birth, and the Foundation pays special attention to the needs of Greater Cleveland's disadvantaged children through grants to support early childhood care and education, abuse prevention and improved foster care and adoption systems. In addition, the Foundation provides some support for the local "safety net" of food, clothing, shelter and access to health care. A closely related set of interests is reflected in the Foundation's desire to help vulnerable populations achieve access to health insurance, the legal system, community support following release from prison and safe and affordable reproductive health services.

The Foundation normally does not consider grants for endowments. Capital requests must meet the Foundation's program goals and also adhere to "green building" standards of environmental sustainability. Details on these requirements are available from the Foundation. Grants are not made for debt reduction or to fund benefit events.

The Foundation does not make grants to individuals, nor does it administer programs it supports. Grants are limited to organizations located in the United States.

The Foundation makes grants only to organizations that meet Internal Revenue Code requirements as nonprofit tax-exempt organizations and to qualified government units and agencies. Grant funds may not be used to participate or intervene in any political campaign on behalf of or in opposition to any candidate for public office.

# Grant Application Procedures

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The Foundation encourages inquiries about the application of our funding guidelines to specific ideas in advance of the submission of formal proposals. Foundation staff welcome the opportunity to provide guidance, suggest alternatives and recommend partners.

Proposals are considered three times a year by the Foundation's Trustees at a winter-spring meeting, a summer meeting and a fall meeting. Deadlines for submitting proposals for consideration at the next regularly scheduled meeting of the Trustees are March 15, July 15 and November 15. Proposals are due the next business day if a deadline falls on a weekend.

All proposals must include a climate change statement, a brief explanation of what the organization is doing or considering to reduce or to eliminate its impact on climate change. The Foundation's website, [www.GundFoundation.org](http://www.GundFoundation.org), includes resources to assist grantees with this task. In this same spirit, we ask that organizations do not submit proposals in notebooks, binders or plastic folders and print proposals on both sides of each sheet of paper.

Applicants also must include a completed cover sheet, available at [www.GundFoundation.org](http://www.GundFoundation.org), which is signed by the organization's board president and executive director. In addition, arts organizations participating in the Ohio Cultural Data Project should submit the George Gund Foundation report available at [www.OhCulturalData.org](http://www.OhCulturalData.org) with proposals. Proposals should also include:

## **ORGANIZATIONAL BACKGROUND**

History, mission, types of programs offered, constituencies served.

## **PROJECT DESCRIPTION**

Justification of need, specific goals and objectives, activities planned to meet goals and objectives, project time line, qualifications of key personnel, methods of evaluation.

## **PROJECT BUDGET**

Anticipated expenses, including details about how Foundation funds would be used, and anticipated income, including information about other sources approached for funding.

## **ORGANIZATIONAL BUDGET**

Previous and current year budget and proposed budget for project year(s) showing both income and expenses, the organization's most recent audited financial statement. Do NOT include IRS 990 forms.

## **SUPPORTING DOCUMENTS**

List of current trustees, letters of support, readily available printed material about organization such as annual reports and brochures, IRS letter confirming Internal Revenue Code 501(c)(3) status and classification as a public charity or information confirming status as a government unit or agency.

The Foundation also will accept the Ohio Common Grant Form, available at [www.OhioGrantmakers.org](http://www.OhioGrantmakers.org), if organizations are using it to apply to multiple funders. Faxed or electronic proposals are not accepted.

All grant applications and requests for information should be addressed to: The George Gund Foundation, 1845 Guildhall Building, 45 Prospect Avenue West, Cleveland, Ohio 44115 (telephone 216.241.3114).

All proposals are screened and evaluated by the staff before presentation at Trustee Meetings. Receipt of proposals will be acknowledged by mail.

Grant seekers may obtain information about other foundation and corporate funding sources at the Foundation Center Library in Cleveland, 1422 Euclid Avenue, or by calling 800.424.9836 for the locations of other Foundation Center offices.



# Trustees and Staff

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\*Term ended June 2010.

# Credits

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## THE PHOTOGRAPHS

Amy Arbus' striking portraits beautifully capture the essence and energy of Cleveland's performing artists and the remarkable gifts they bring to our community. Arbus has published four books of her photographs, and her work is in many prestigious collections, including those of the Museum of Modern Art in New York. Arbus' photographs have appeared in more than 100 periodicals, and she has had 21 solo exhibitions around the world. She also teaches portraiture at the International Center of Photography, Maine Media Workshops and the Fine Arts Work Center. The Foundation has been a longtime supporter of the arts because we recognize that their innovation and creativity are essential to our community's continued vitality. The talented actors, dancers and musicians pictured in this report, and so many others like them, make Cleveland a more rewarding, interesting and lively place to live and work. We are richer because of their presence. [www.AmyArbus.com](http://www.AmyArbus.com)

## THE ORGANIZATIONS

The artists featured in this report perform with the Cleveland Jazz Orchestra, Cleveland Public Theatre, Great Lakes Theater Festival, GroundWorks DanceTheater and Karamu House Theatre, but represent all of the talented musicians, dancers and actors who grace Cleveland's stages. The Foundation values the innovation and creativity they bring to our community.

### **Cleveland Jazz Orchestra**

[www.ClevelandJazz.org](http://www.ClevelandJazz.org)

### **Cleveland Public Theatre**

[www.CPTonline.org](http://www.CPTonline.org)

### **Great Lakes Theater Festival**

[www.GreatLakesTheater.org](http://www.GreatLakesTheater.org)

### **GroundWorks DanceTheater**

[www.GroundWorksDance.org](http://www.GroundWorksDance.org)

### **Karamu House Theatre**

[www.KaramuHouse.org](http://www.KaramuHouse.org)

## SITE DESIGN

### **Nesnadny + Schwartz**

Cleveland + New York + Toronto  
[www.NSideas.com](http://www.NSideas.com)

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## CONTACT

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